

Projected Impact of the GOSAT Observations on Regional CO₂ Flux Estimations as a Function of Total Retrieval Error

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Abstract

We studied the impact of the planned atmospheric CO₂ observations by GOSAT (Greenhouse gases Observing SATellite) on the inverse model estimate of monthly and annual mean regional CO₂ fluxes, using the inverse models of the CO₂ fluxes for 22 large regions of a globe. The inverse model of the atmospheric transport uses monthly and annual means of simulated GOSAT CO₂ observation data, which were aggregated to grid cells with the size of 7.5° × 7.5° (horizontal), and surface-station observations as formulated in Transcom-3 inverse modelling inter-comparison. The observation frequency of GOSAT in our analysis was corrected for simulated probability of clear-sky conditions, assuming global mean clear sky probability of 11%. Our results demonstrate that the mean regional flux uncertainty can be reduced by about 50% by adding satellite observations with single shot precision of 5 ppm and randomly-distributed retrieval bias of 0.5 ppm.

Keywords : regional CO₂ fluxes, GOSAT orbit, CO₂ observations, satellite observations, remote sensing

1. Introduction

Surface CO₂ fluxes are estimated with atmospheric transport inversion models using the *in-situ* measurements of CO₂. Recently, there have been emerging possibilities of obtaining CO₂ distributions and time series from the satellite-borne sensors, such as SCIAMACHY¹⁾, which is already in orbit, and OCO²⁾ and GOSAT which are planned to be launched in near future. In the past, utility of satellite measurements in surface source estimations has been studied based on moderate-resolution inverse modeling, i.e., about 20–100 region inversion^{3)~7)}, and assimilation-type inverse models at grid-size resolution⁸⁾⁹⁾. However, the precision requirements and observation impacts on the flux inversion are dependent on the observation frequency and accuracy, which are unique to each satellite observation mission. In this work, we used a rather standard inverse model setup that divides the globe into 22 regions to evaluate the benefit of column averaged CO₂ retrieved from spectra in the SWIR band of GOSAT (Greenhouse gases Observing SATellite). These results are also compared with the inversion flux uncertainties for surface measurement network.

2. Materials and Methods

2.1 Observation frequency and errors

The CO₂ observations by GOSAT satellite are planned to be acquired in nadir mode¹⁰⁾. According to the plan, successful observations of CO₂ column averaged concentration will be obtained under cloud free conditions with little disturbance from aerosols and thin clouds. Thus, only about 1000 observations per day are expected to be used in retrieval even though the data is planned to be acquired every 5 seconds. For estimating the average concentration and its error one needs estimate of the spatial and temporal distribution of the observation frequency. We estimated the observation frequency using a simulated GOSAT orbit, estimate of reflected sunlight intensity and the probability of clear sky conditions. The estimated climatology of clear-sky frequency is based on CALIPSO data¹¹⁾¹²⁾ for a period from June 2006 to August 2007 (Fig. 1). Even after reduction due to cloudy conditions, the number of the observations is still quite large to be treated directly in Bayesian inverse model by Enting et al.¹³⁾. To simplify the inverse modeling procedure by reducing the dimension of the data vector we aggregated the observations to monthly mean values over each 7.5° × 7.5° grid box. We

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used two different configurations of GOSAT observations for “time-independent” (estimating annual mean fluxes) and “time-dependent” (monthly mean fluxes) inversions. The first one intends to imitate application of the GOSAT data in the time of the actual observations and present surface observing network, the second one looks at the utility of the GOSAT data in the context of the available surface observations and inverse modeling approach at the time of planning the GOSAT observations. For both cases data coverage was limited to over the land only.

2.2 Time-independent inverse model

We used a “time-independent” Bayesian inverse model by Gurney et al.¹⁴⁾ to estimate the uncertainties of annual mean regional CO₂ fluxes. The model uses annual mean observed CO₂ concentrations to constrain the fluxes. The earth’s surface was divided into 22 regions, and a total of 1 Pg C/year of net annual flux was assigned to each region with spatial distribution which is similar to that of the net ecosystem production. The atmospheric transport of these basis functions were simulated using the NIES/FRSGC global transport model¹⁵⁾. The NCEP reanalysis winds and meteorological parameters for year 1997 were used to drive the model transport, and the simulation was run for 3 years before collecting monthly mean concentrations and column-averaged concentration of each tracer. The tracers considered in this study are emissions from fossil fuel burning for 1990 and 1995, CASA (Carnegie-Ames-Stanford Approach) terrestrial biosphere flux and ocean-atmosphere exchange, as well as 22 tracers of regional emissions of 1 Pg C/year, all according to Gurney et al.¹⁴⁾.

The Bayesian inverse modeling procedure and its numerical realization used in this model is same as in Gurney et al.¹⁴⁾ and is based on the one described by Enting et al.¹³⁾. In this method, we minimize a cost function (F) to reduce the mismatches between the atmospheric observations D and transport model predicted responses to surface fluxes, $G \cdot S$ (matrix G represents a transport operator and S a predicted flux), and *a priori* fluxes S^0 and predicted fluxes S :

$$F = (D - G \cdot S) \cdot C_D^{-1} \cdot (D - G \cdot S)^T + (S - S^0) \cdot C_S^{-1} \cdot (S - S^0)^T \quad (1)$$

The solution to the inverse model provides optimal estimates for regional fluxes, and a posterior error covariance of the flux estimates C_S , (see also Eq. 4.7 by Rodgers¹⁶⁾),

$$C_S = (G^T \cdot C_D^{-1} \cdot G + C_S^{-1})^{-1} \quad (2)$$

where C_D and C_S^0 are error covariance matrices of the atmospheric observations and *a priori* fluxes, respectively.

According to Eq. 2, the flux uncertainties are independent of the observed concentrations as well as prior fluxes, and depend only on the observation data uncertainties, prior flux

uncertainties, and atmospheric transport. Therefore, we can discuss flux uncertainties without using actual observations. Error covariance matrix C_D was assumed to be diagonal, where each diagonal element is equal to the squared data uncertainty (σ_{total}^2). The data uncertainty σ_{total} (Eq. 3) consists of two parts : the random part (which can be reduced by increasing the number of independent observations N) and systematic part σ_{sys} , such as a bias of the concentration retrieval procedure¹⁰⁾ and also includes clear-sky bias¹⁷⁾. In this work, we assumed that the random errors result from errors of CO₂ column retrievals σ_{ret} and errors due to atmospheric variability¹⁴⁾ of CO₂ σ_{RSD} , which also contains the transport model error. In our tests, the single-shot retrieval error is assumed to be in the range of 2.5 to 10 ppm, which exceeds the estimated errors due to atmospheric CO₂ variability, so we simplified the analysis by considering globally homogeneous total random error. In our error model, the systematic error was not reduced by increasing the number of observations. It was composed of the spatial, temporal and representation biases in the concentration retrieval from the GOSAT SWIR spectra. Finally, expression for total column error is as follows :

$$\sigma_{total} = \sigma_{sys} + \sqrt{\frac{\sigma_{ret}^2 + \sigma_{RSD}^2}{N}} \quad (3)$$

while for the surface observation, we assumed the error is defined by σ_{RSD} as in Gurney et al.¹⁴⁾. The mean of the annual number of the successful observations (N) in our estimates is based on estimated probability of cloud-free scenes along the track of GOSAT. The data uncertainties for surface observations were calculated from the GLOBALVIEW-CO₂¹⁸⁾ dataset. A surface measurement network with 77 sites is used in this work, for consistency with Gurney et al.¹⁴⁾. The prior flux uncertainties C_S^0 for each region (see Eq. 1) were set proportional to regional NPP for land, and oceanic bulk exchange for ocean following the method used by Gurney et al.¹⁴⁾.

2.3 Time-dependent inversion

In the time-dependent inversion, we followed the method developed by Rayner et al.¹⁹⁾, and later modified to 22-region case by Gurney et al.²⁰⁾ and to 64-region setup by Patra et al.²¹⁾. We used the 66-region configuration model²²⁾ and aggregated the results of monthly mean CO₂ fluxes to 22 regions used in the time-independent study. The monthly carbon emission pulse with intensity of 1 GtC/yr from each of 66 regions was transported using NIES tracer transport model¹⁵⁾ with $2.5^\circ \times 2.5^\circ$ horizontal resolution and driven by NCEP reanalysis winds for year 2005, so the influence of the regional fluxes to observed CO₂ concentrations are simulated and summarized as transport matrix for use in Bayesian synthesis inversion in a same way as in the report by Patra et al.²¹⁾. The concentra-

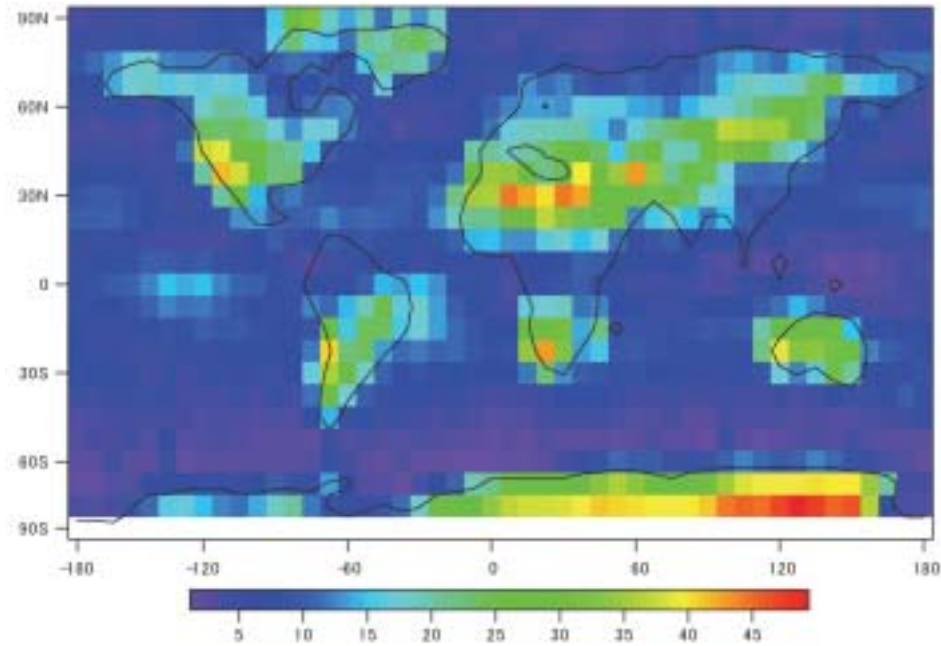


Fig. 1 Annual average probability of clear sky (%) derived from CALIPSO data.

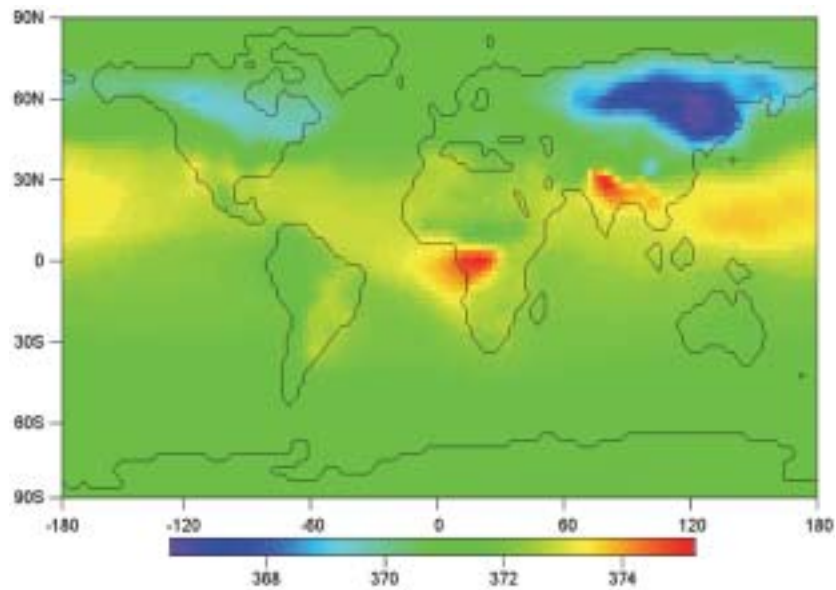


Fig. 2 Simulated total column CO₂ observations in ppm for July 2005.

tions of CO₂ at surface stations and global distribution of total column CO₂ were simulated for 2005 by transport model with climatological CO₂ fluxes obtained with the inverse model²³⁾. Figure 2 shows the simulated total column CO₂ for July 2005. Column-averaged CO₂ data were aggregated to 7.5° × 7.5° grid cells. After the aggregation random part of observation errors (second term in Eq. 3.) is reduced to 0.8 ppm on average, assuming single shot retrieval error of 2.5 ppm. Simulations of total CO₂ errors were completed with 4 different values of bias, namely 0, 1, 2 and 3 ppm. Thus, the mean total

error for monthly average total column CO₂ varied from 0.8 to 3.8 ppm. Figure 3 shows the simulated total column CO₂ by GOSAT observations and distribution of σ_{total} calculated by Eq. 3 for January 2005. We used simulated GOSAT observations only over the land. Observation data from only 151 surface stations were used in the inversion, and the uncertainties of concentrations at these surface stations were calculated from actual data and had seasonal variations according to multi-year average of atmospheric variability¹⁸⁾.

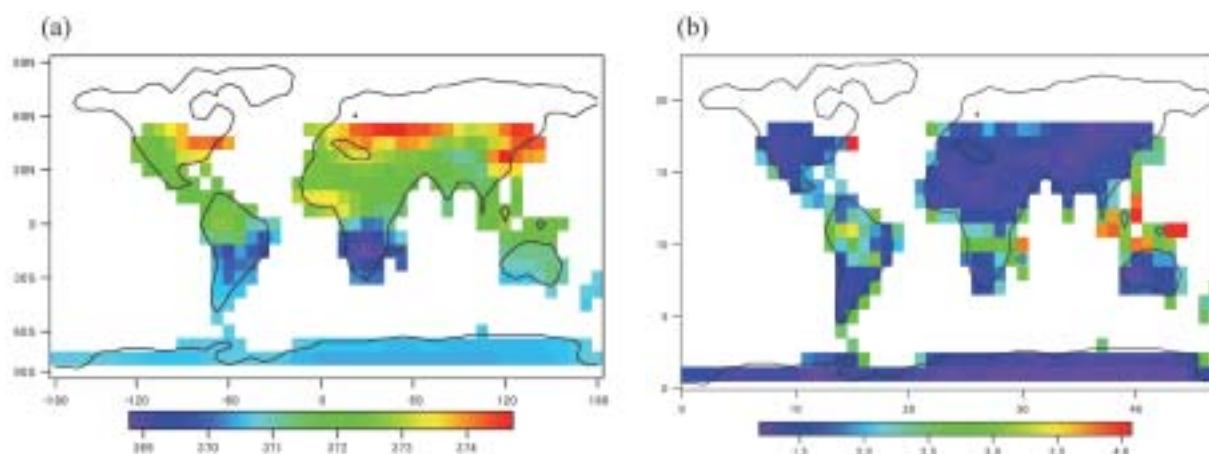


Fig. 3 (a) Simulated GOSAT total column CO₂ observations over the land in ppm, and (b) errors in ppm for monthly mean total CO₂ (bias is 1 ppm). Both (a) and (b) are for January 2005 and have horizontal resolution of 7.5° longitude × 7.5° latitude.

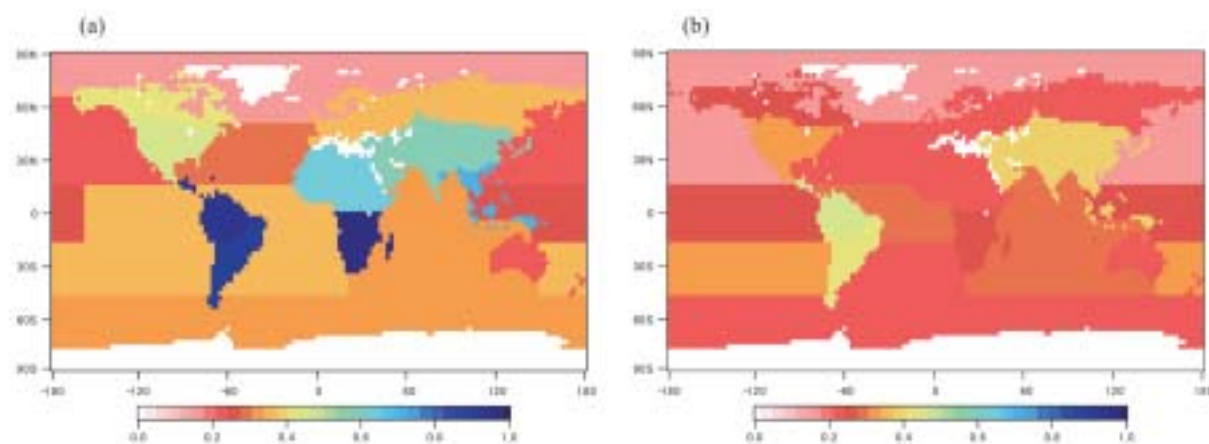


Fig. 4 Error (GtC/yr/region) map of annual mean CO₂ flux obtained with (a) ground-based observations and (b) both ground-based observations and simulated GOSAT observations. The errors in the GOSAT data were 0.5 ppm in bias and 5 ppm in random single-shot retrieval error.

3. Results and Discussions

3.1 Time-independent inversion results

The flux uncertainties are reduced first by adding ground-based observations according to Gurney et al.¹⁴⁾ and then by adding simulated GOSAT observations with assumed uncertainties. Figure 4 shows the flux uncertainties for both cases: (1) when only ground-based observations are used and (2) when ground-based observations are used together with GOSAT observations. In the first case we use 12 monthly mean observations from each of 151 stations, in the second case the number of observations is increased by number of GOSAT aggregated grid cells over land (490) for each month. Hereinafter, we will refer to the uncertainties in the flux obtained from the first case as $\sigma_{S,GV}$ and those of the latter

case as $\sigma_{S,GV+GOSAT}$. In Fig. 4, GOSAT observation data were assumed to have 0.5 ppm bias and 5.0 ppm single-shot accuracy. For that case, the average reduction for land regions was about 50%. Adding the GOSAT observations in our analysis resulted in the reductions of uncertainties in the flux, while the degree of the uncertainty reduction depended on the magnitudes of bias and random retrieval error (Table 1). Relative reduction of mean flux uncertainty is defined as $(1 - \sigma_{S,GV+GOSAT}/\sigma_{S,GV})$.

Compared to the estimates provided by earlier studies^{e.g.3)} this study introduces explicitly a systematic bias component of the gross observation error thus resulting in the more conservative estimates of the flux uncertainty reduction. According to the flux uncertainty reduction dependence on errors (in Table 1), the bias component makes significant effect on inverse model simulated flux uncertainty, as it makes impor-

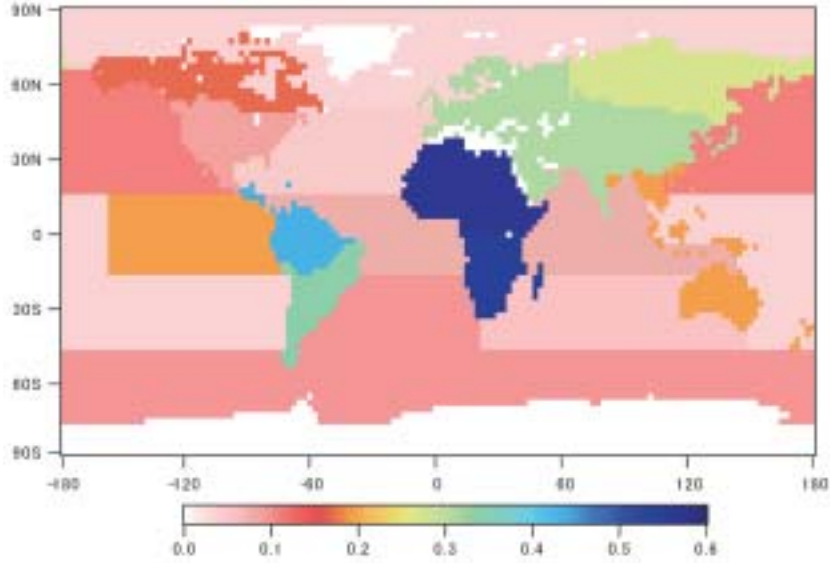


Fig. 5 Relative uncertainty reduction for 22 regions due to addition of GOSAT observation to ground based observations.

Table 1 Relative reduction of mean flux uncertainty, i.e. relative to use of only the ground-based observations.

Random error (ppm) \ Bias (ppm)	Uncertainty reduction (%)			
	2.50 ppm	5.00 ppm	7.50 ppm	10.00 ppm
0.25 ppm	67	61	55	50
0.50 ppm	54	49	44	41
0.75 ppm	44	40	36	33
1.00 ppm	36	33	30	28

tant contribution to the total error.

3.2 Time-dependent inversion results

Figure 5 shows the relative reduction of flux uncertainty for each of 22 land regions with 1.8 ppm monthly mean σ_{total} . In the same way as it was suggested by Rayner and O'Brien³⁾, we calculated the total uncertainty Σ as :

$$\Sigma = \sqrt{\sum_n \sigma_n^2} \quad (4)$$

where σ_n is the uncertainty of flux for region n and the sum is over all 22 regions. Following Rayner and O'Brien³⁾, we summarized the sensitivity of source uncertainty Σ as a function of the pseudo-data precision (Fig. 6). When compared to the existing network of ground stations (see Fig. 6), our results indicate that the precision of monthly-averaged column data σ_{total} on $7.5^\circ \times 7.5^\circ$ grids need to be better than 0.8 ppm to reduce the average uncertainties for all of 22 regions by 40%, at that point the mean flux uncertainty with GOSAT data only is about 1.1 times the one with the ground based observations. We can conclude that the high precision satellite measurements are as effective as the surface observa-

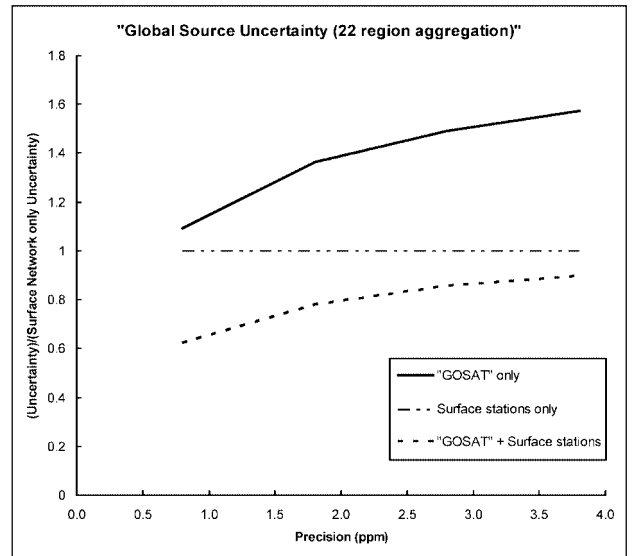


Fig. 6 Relative mean CO₂ flux uncertainties for different observing systems.

tions in reducing the estimated flux uncertainty both for time-dependent and time-independent inverse models. The data from GOSAT is expected to reduce flux uncertainty by as much as 60% for some regions with low density of existing ground-based observations (Fig. 5).

4. Conclusions

Use of GOSAT total column data in the flux estimation by inverse model of the atmospheric transport was evaluated. Monthly fluxes and flux uncertainties were estimated by inverse procedure. While the estimated fluxes are based on

pseudo data, the flux uncertainties can be estimated if presumed observation accuracy is available. The procedure assumed that GOSAT data were aggregated to $7.5^\circ \times 7.5^\circ$ grid cells, and averaged over 1 month to derive total CO₂ column monthly mean data. The observation frequency of GOSAT in our analysis was corrected for simulated probability of clear-sky conditions, assuming global mean clear sky probability of 11%.

Our results demonstrate that the time-independent inversion setup mean regional flux uncertainty can be reduced by about 50% by adding satellite observations with single shot precision of 5 ppm and randomly-distributed retrieval bias of 0.5 ppm. In the time-dependent setup, lower relative uncertainty reduction is estimated. Total error of monthly-averaged column data need to be better than 0.8 ppm to reduce the mean regional flux uncertainties by 40%

According to our estimate, bias part can contribute a lot to the total error. To compensate for that, reduction of the random (and retrieval) error is becoming even more important. This conclusion applies both the time independent case, based on annual mean concentrations and fluxes, and time-dependent cyclo-stationary case that used monthly mean concentration climatology and derives monthly mean fluxes.

More detailed study of the observation biases as function of thin cloud cover, aerosols and other retrieval-related parameters would be useful to evaluate the utility of the GOSAT observations for various applications.

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