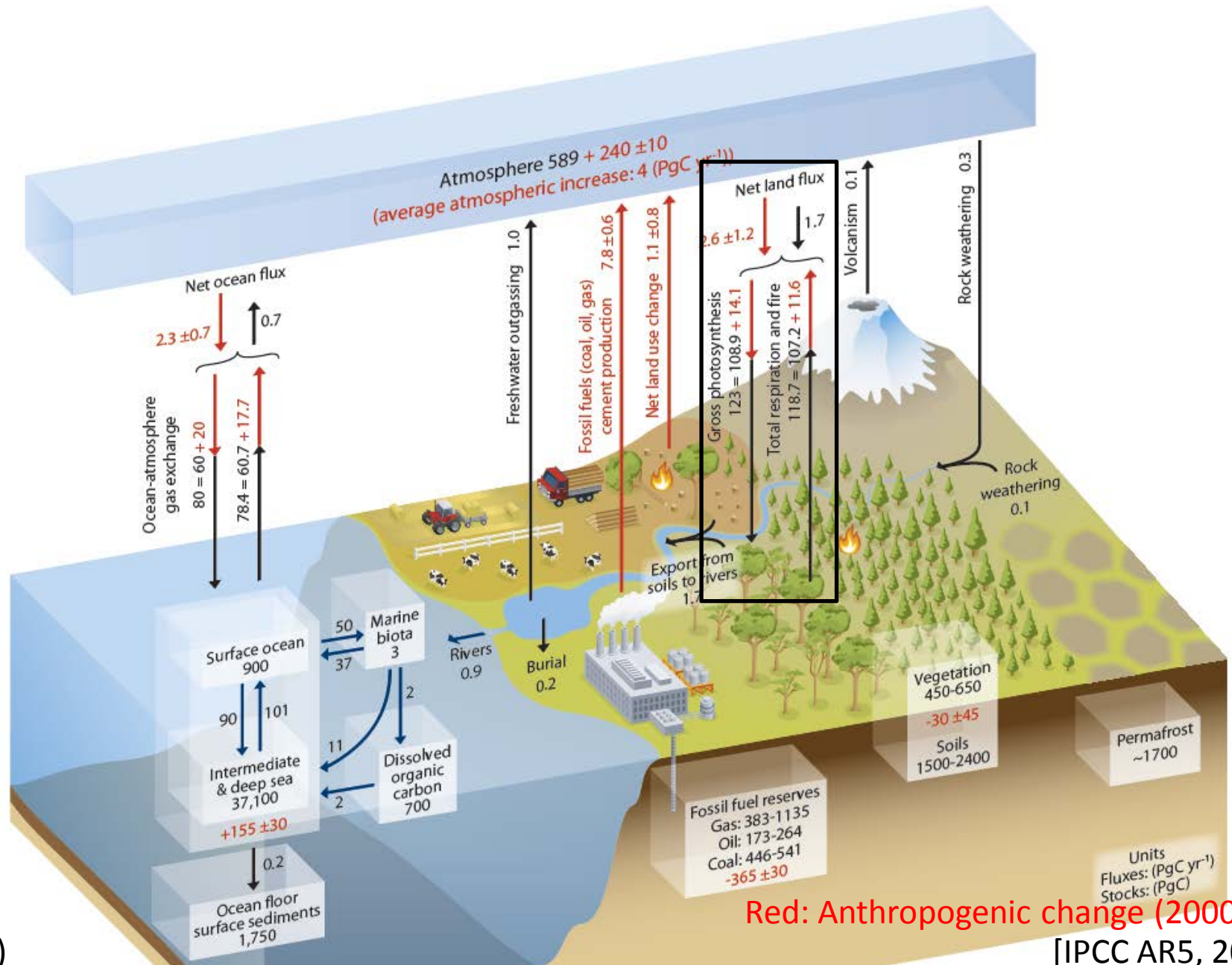


# Refining terrestrial carbon flux estimation in Asia by synthesizing bottom-up and top-down estimations: Available methods and first results

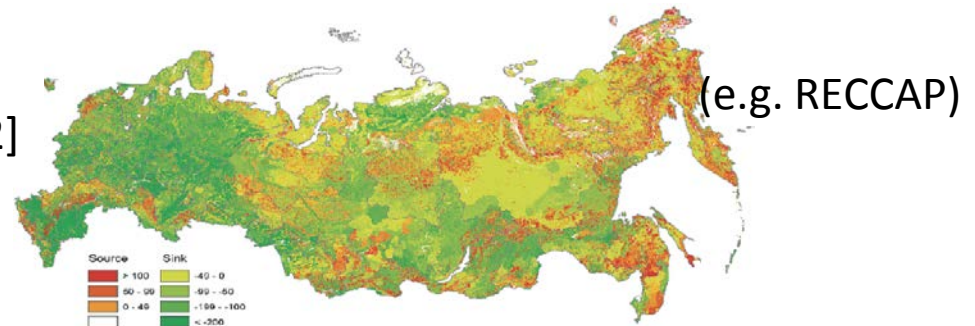
Kazuhito Ichii  
DEGCR Seminar 2014/09/29

# Global Carbon Fluxes

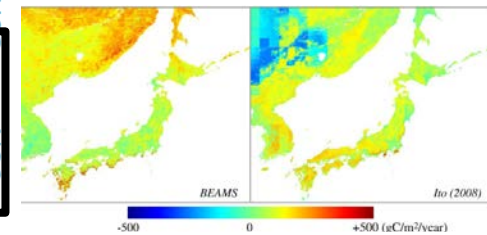
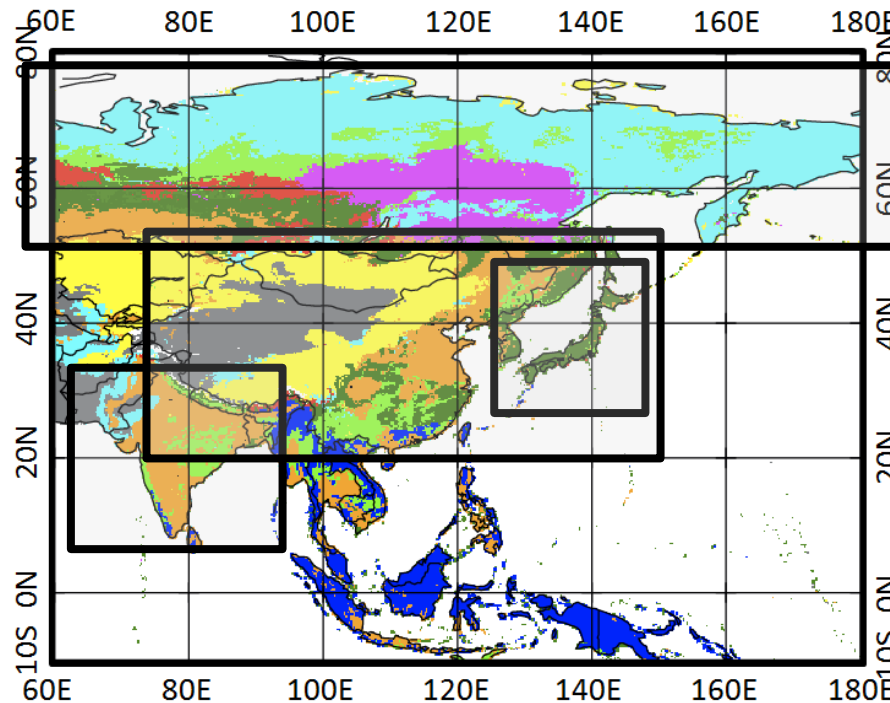
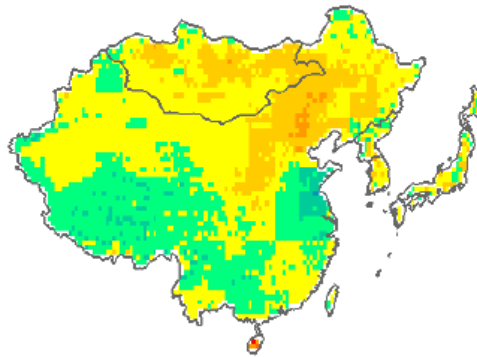


# Quantify (Land) Carbon Budget in Asia using available information

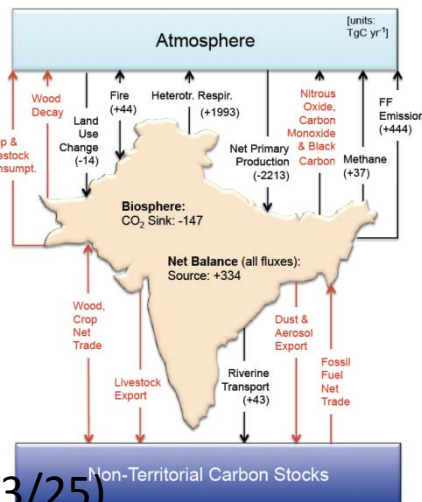
Russia:  
[Dolman et al. 2012]



(a) Average

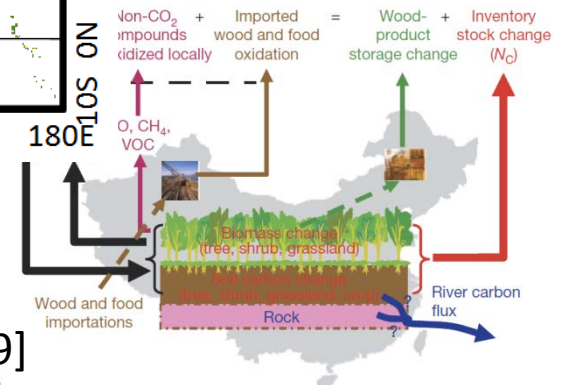


Japan:  
[Ito 2008;  
Ichii et al. 2010,  
Sasai et al. 2011]



South Asia  
[Patra et al., 2013]

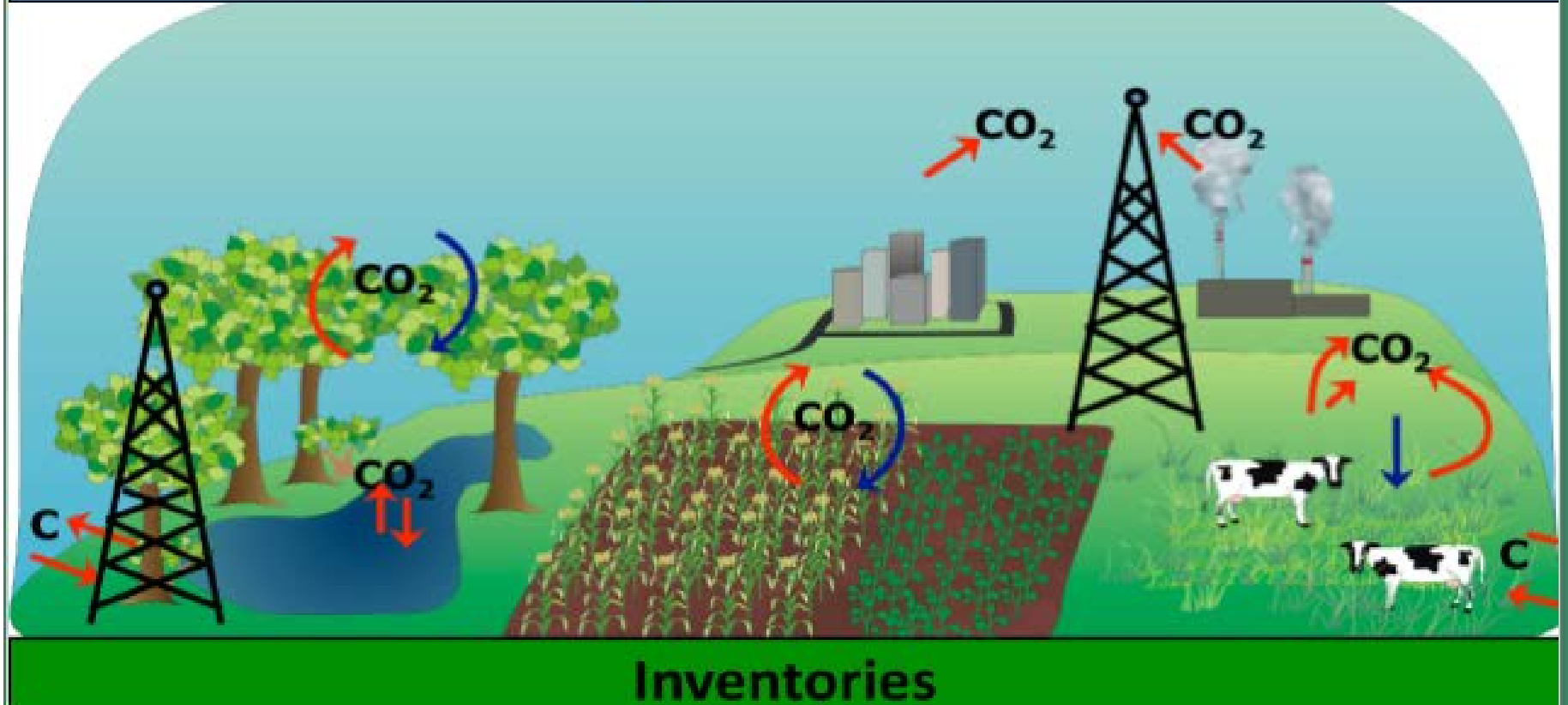
China:  
[Piao et al. 2009]



# Different Approaches to Quantify Carbon Budget

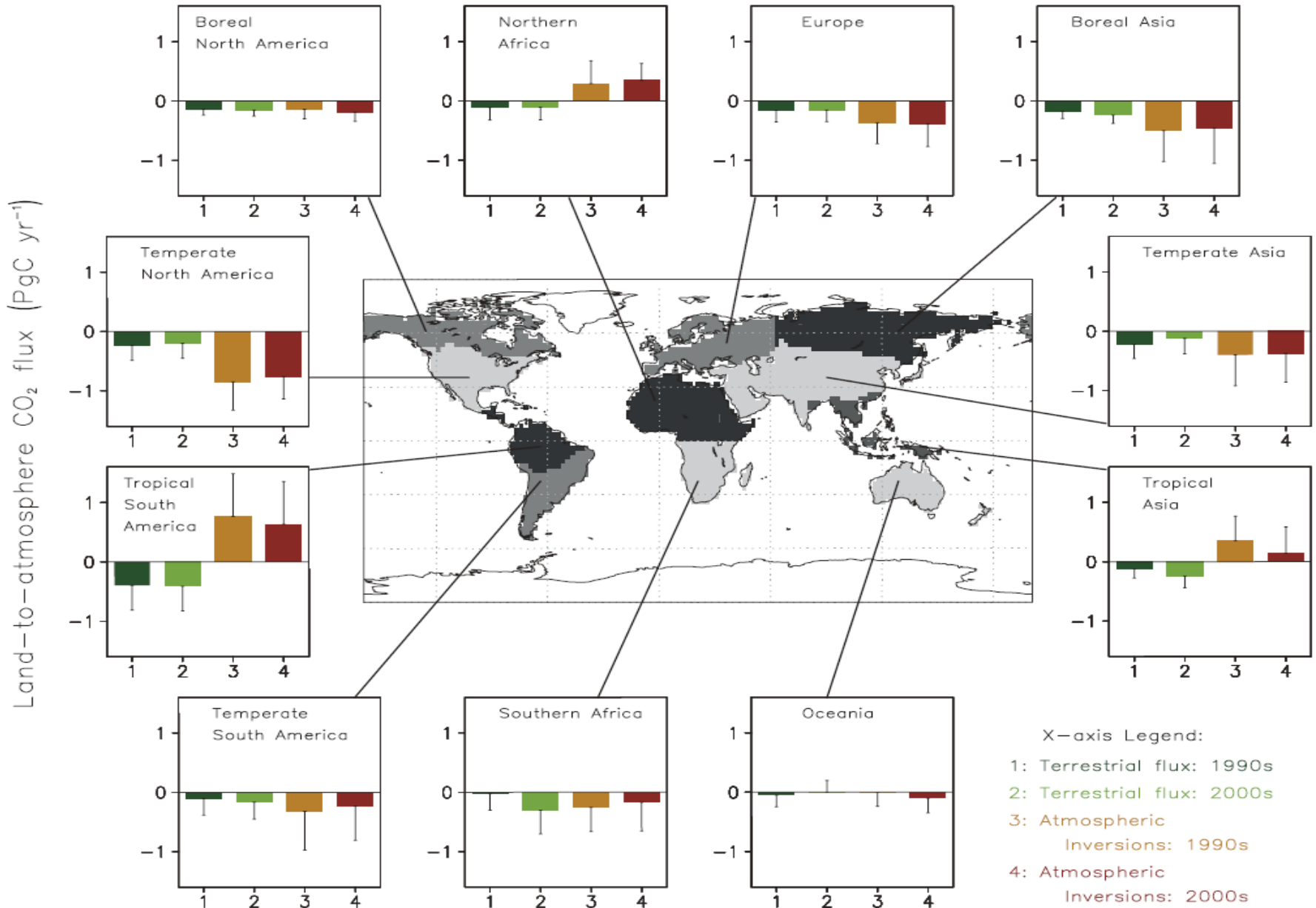
Top-down approach: Constrain “Total” --- Break-down into smaller scales

## Atmospheric Inversions



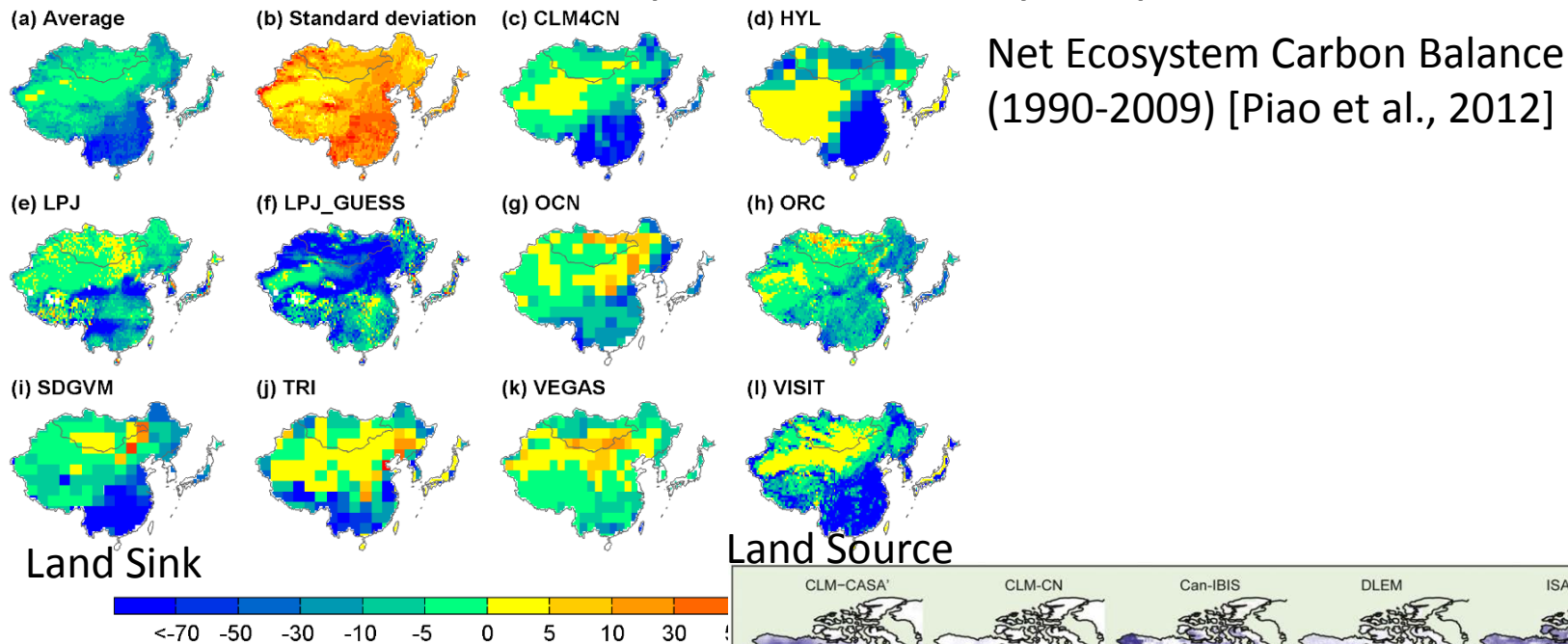
Bottom-up Approach: Constrain “Point” ---- Sum-up

# Difference of Bottom-up - Top-down Estimation

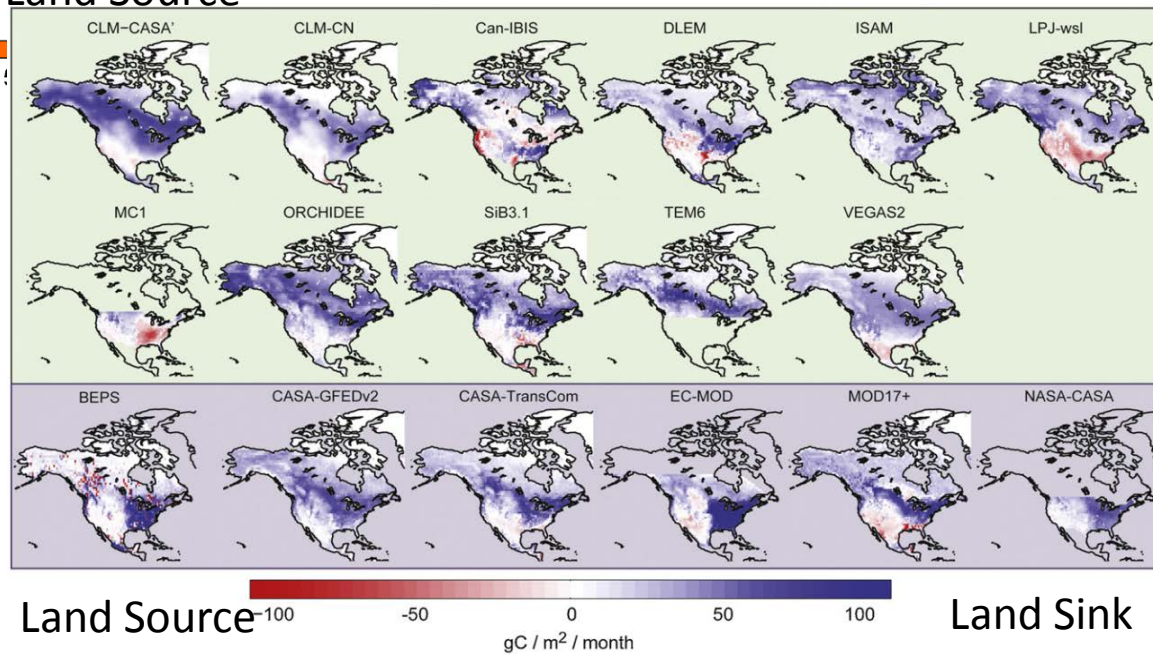


# Large Uncertainties in bottom-up estimation

✂ So far, most bottom-up estimates rely on process-based model



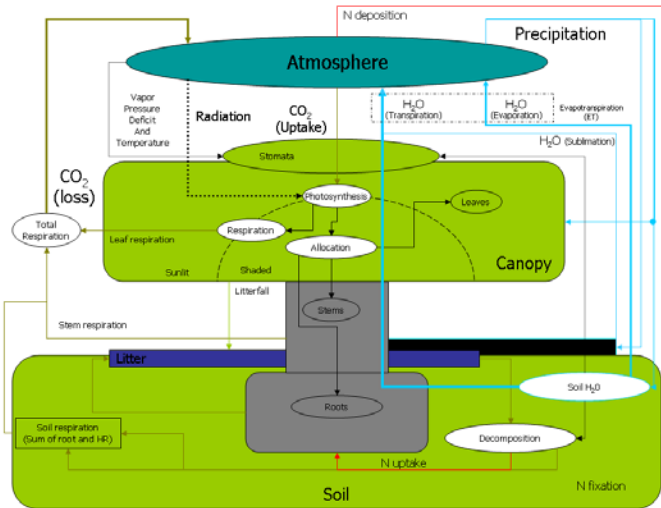
Net Ecosystem Carbon Balance  
(JJA average from 2000-2005)  
(06/25) [Huntzinger et al., 2012]



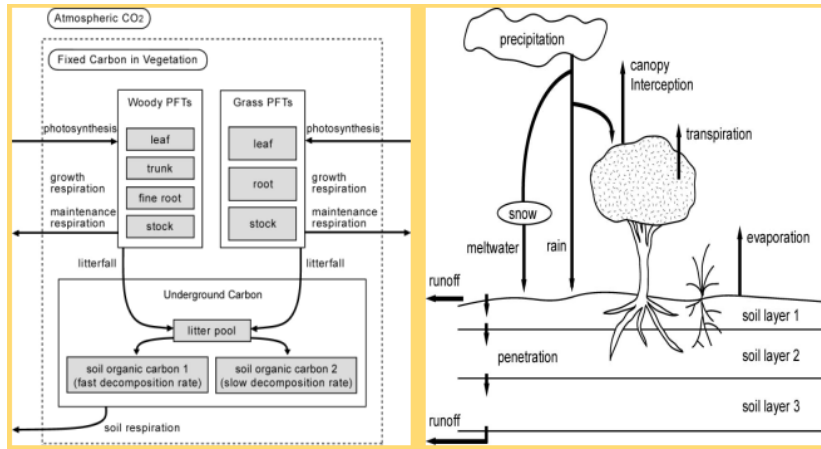
# Bottom-up: New estimation

## Process models

[VISIT, SEIB-DGVM, Biome-BGC etc.]



[Biome-BGC]



## Empirical models – Newly Available

(e.g. MPI-GPP)



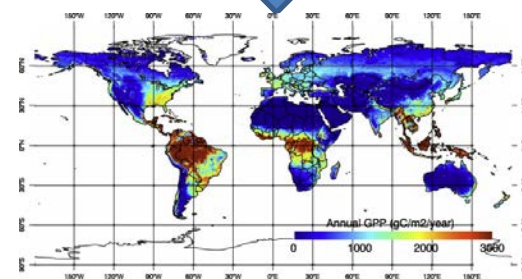
Input: RS Data  
(e.g. VI, LST, Srad)



Obs: C-Flux  
(e.g. FLUXNET)



Regression  
Model



# Objectives

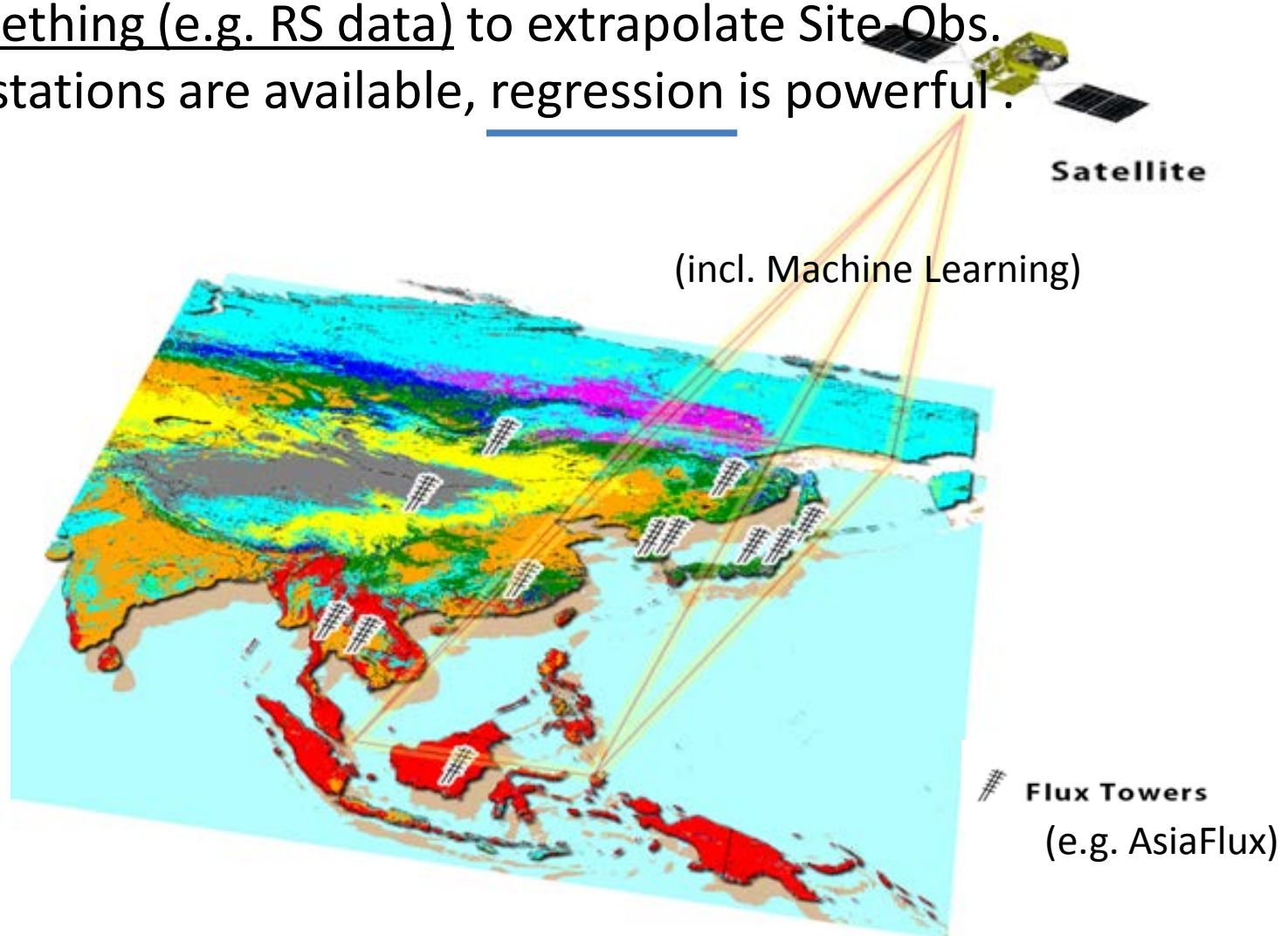
Evaluate terrestrial carbon fluxes in Asia (+global) using  
available data and models

# Contents

- Bottom-up (Empirical upscaling)
- Comparison with Top-down (GOSAT L4A)
- Bottom-up; FLUXCOM overview (international effort)

# Upscaling: From SiteObs to Spatial Estimation

- Site-Obs: impossible to cover everywhere
- Use something (e.g. RS data) to extrapolate Site Obs.
- If many stations are available, regression is powerful.



(e.g. Papale et al. 2003; Yang et al., 2006; Jung et al., 2009; Xiao et al., 2010)

(our approach)

# Empirical Upscaling using FLUXNET (incl. AsiaFlux)



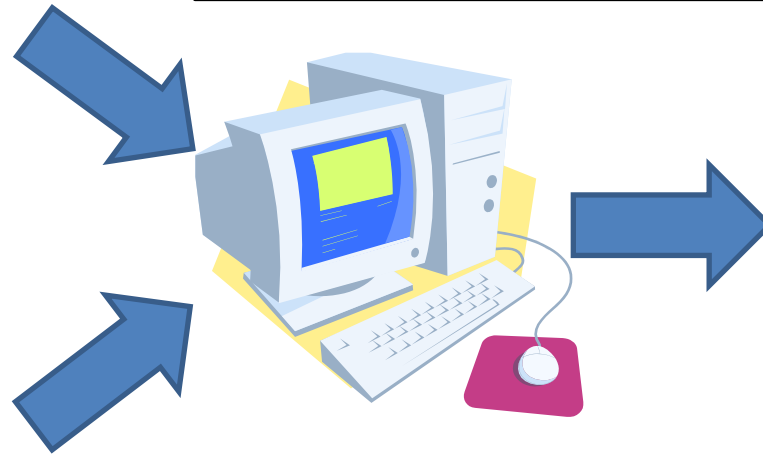
Input: RS Data  
(VI, LST, Srad)



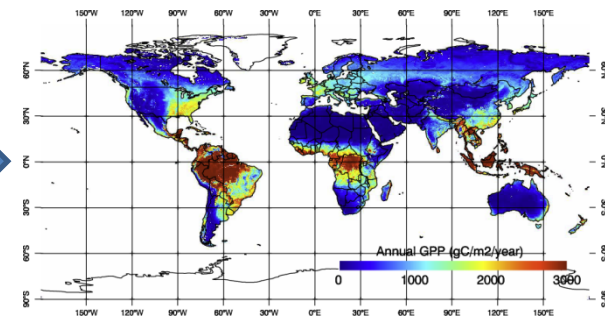
Obs: C-Flux  
(e.g. FLUXNET)

Advantage:

- ① Observation-based outputs
- ② Independent from model (if use only RS)



Establish  
Empirical Model  
(e.g. Regression, Machine Learning)



Interpolation/Extrapolation  
(e.g. Spatial C flux outputs)

## Methods (Different ML algorithms)

Neural Network

[Papale et al. 2003]

Support Vector Regression (SVR)

[Yang et al., 2006; 2007]

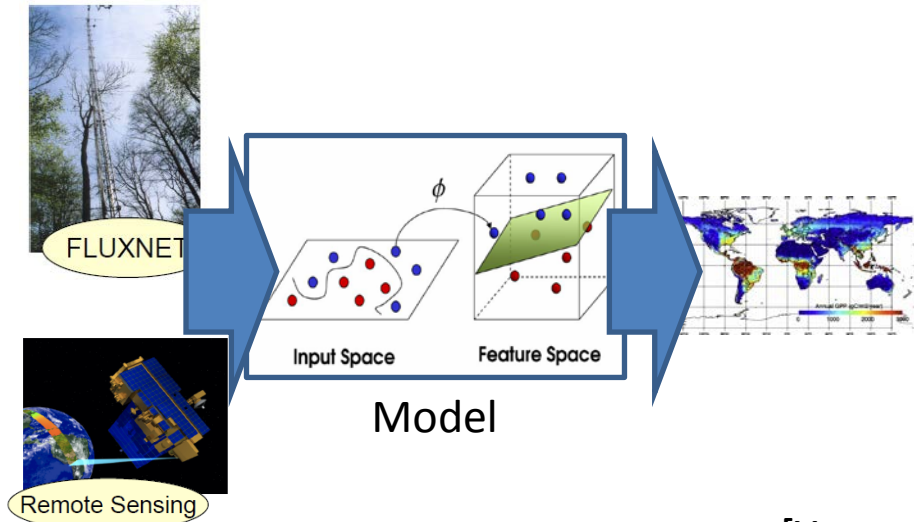
Model Tree Ensemble

[Jung et al., 2009; 2010]

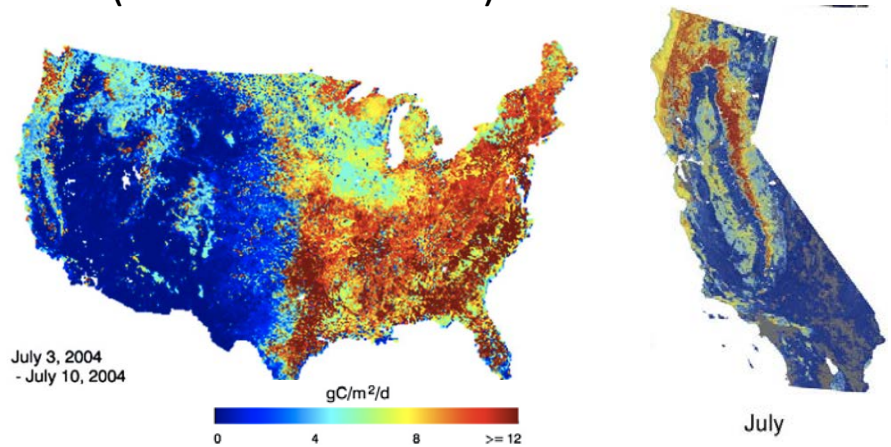
Regression Tree

[Xiao et al. 2008; 2010]

# Example: Support Vector Regression

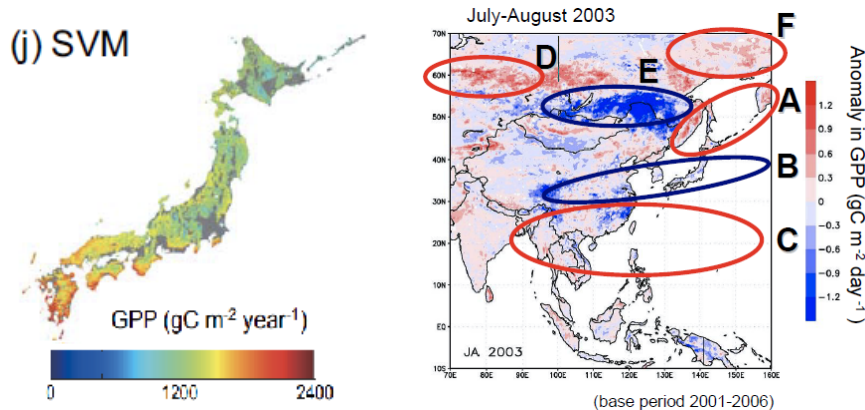


## US (Seasonal Variation)

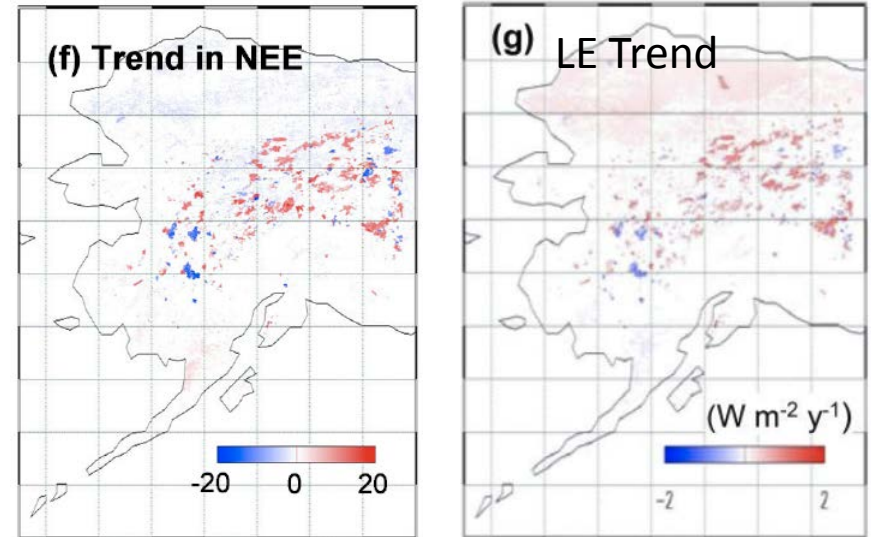


[Yang et al. 2006 TGARS, 2007 RSE; Ichii et al. 2009 AFM]

## Asia (Interannual Variation; Anomaly)



## Alaska (Trend – 10 Year)



(11/25) [Ichii et al. 2010 BG; Saigusa et al. 2010 BG]

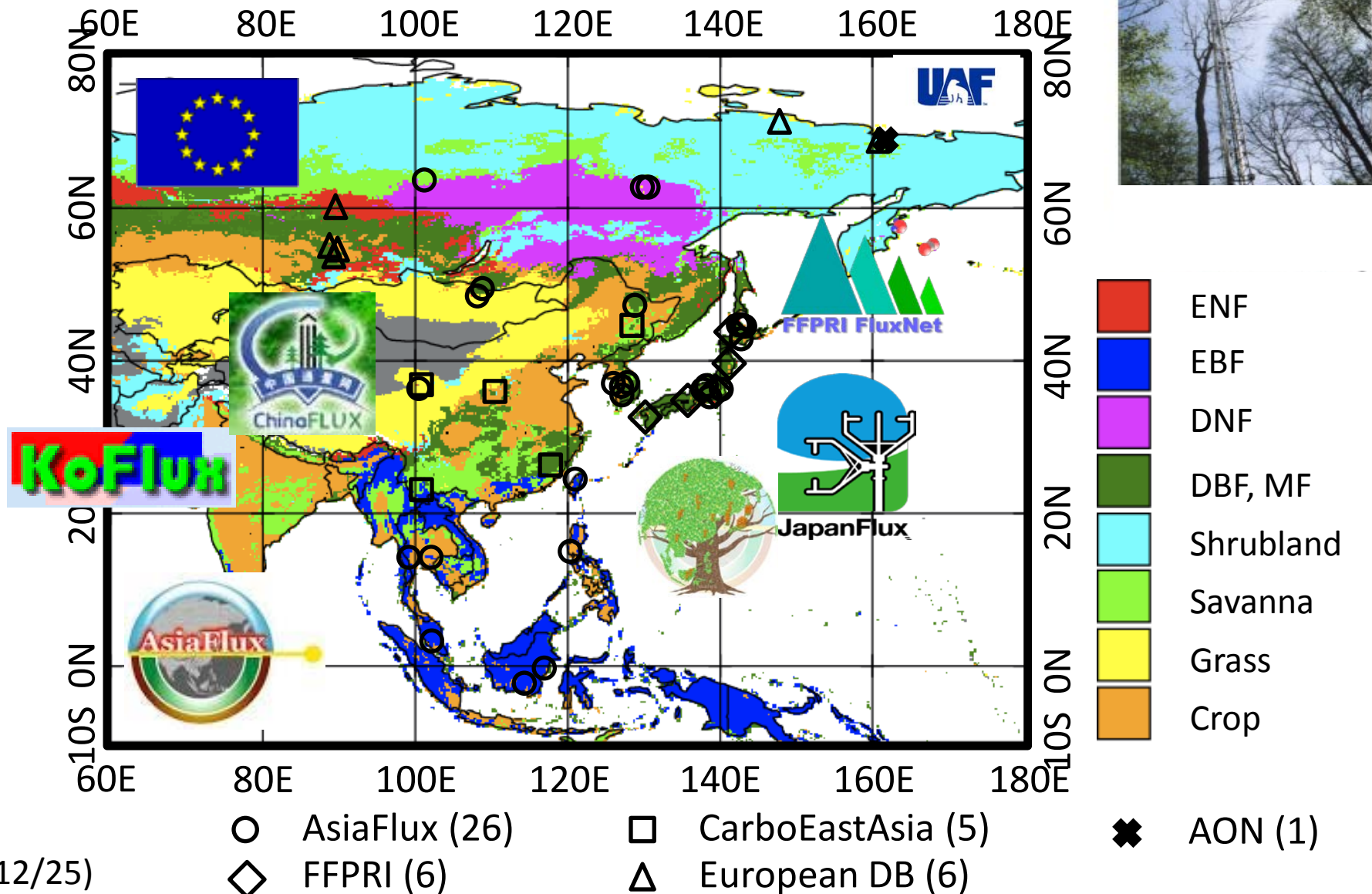
[2000-2011]

[Ueyama et al. 2013; 2014; JGR]

# Asia Upscaling: Eddy-covariance network in Asia

Dataset created for Asia-MIP project (44 sites)

Consistent Processing (QA, gapfill, flux-partitioning)



# Input Variable Selection

|                  |                                     |                             |
|------------------|-------------------------------------|-----------------------------|
| SRAD             | JAXA Product                        | (Frouin and Murakami, 2007) |
| LST              | (Average of daytime, nighttime LST) | (MOD11A2)                   |
| Land Cover       |                                     | (MCD12Q1)                   |
| Vegetation Index | (one of NDVI, EVI, LAI, FPAR, GR)   | (GR=G/(R+G+B))              |

## Split observed data into train, test (+generalization)

Training – Establish Model  
YR 2000-2004

Test – Test an Established Model  
YR 2005-

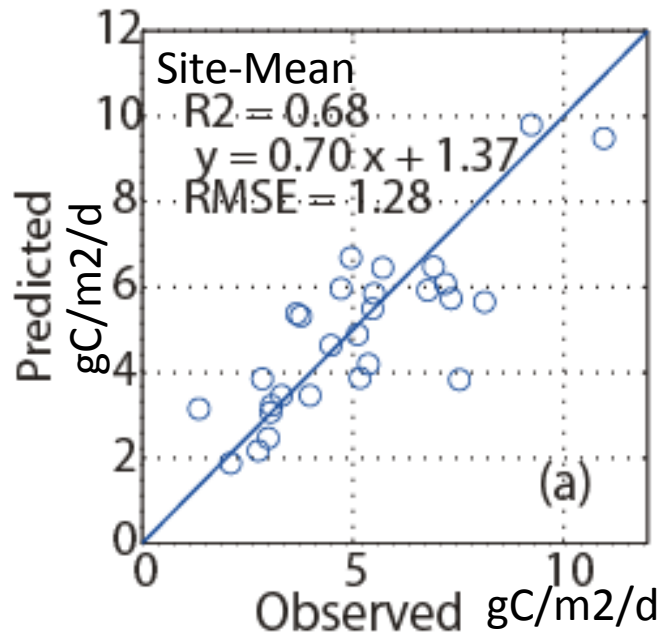
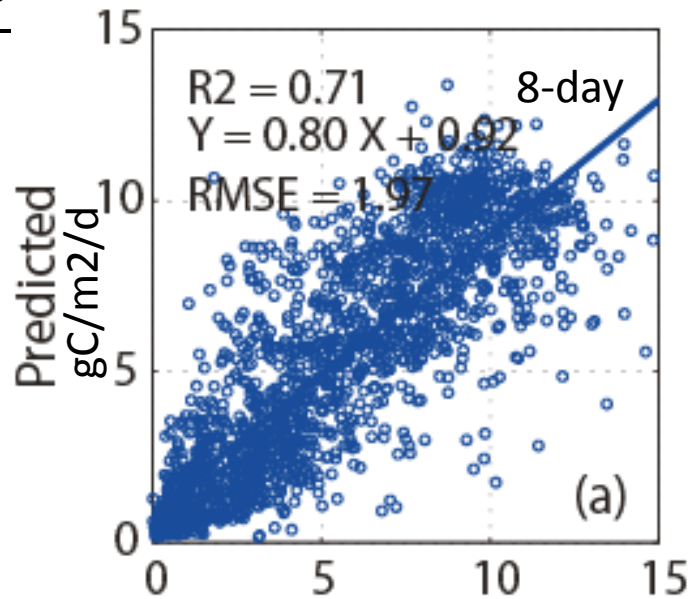
Test Spatial Extrapolation Capability (7 sites)  
YR 2005-

Site Data unused as training data set

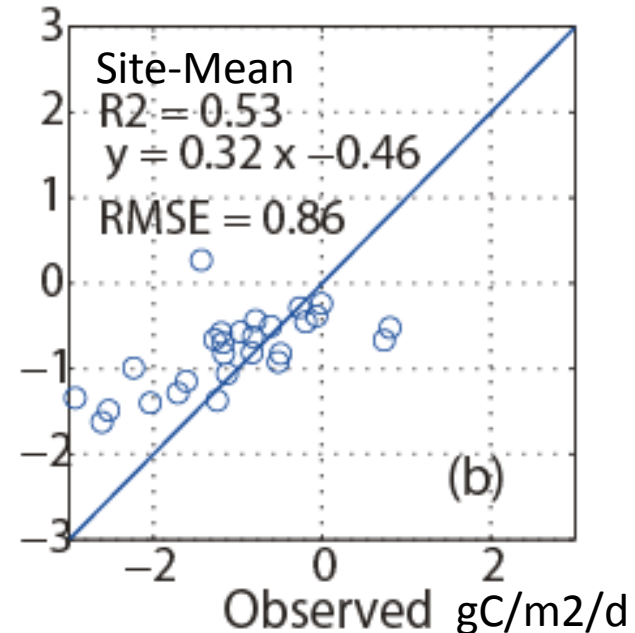
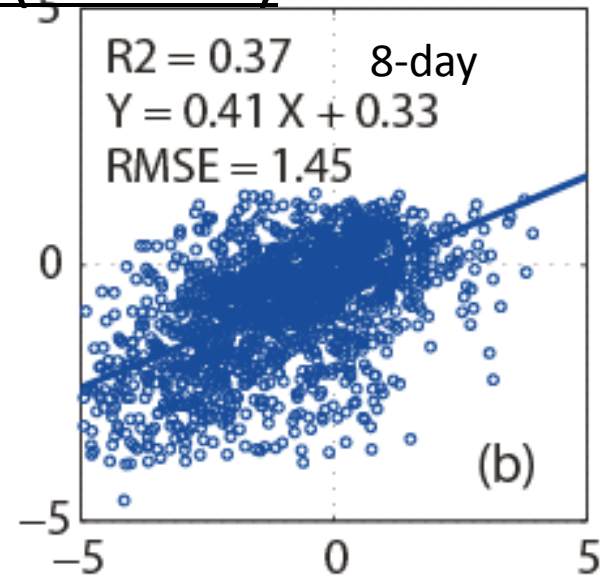
(Sites, covering only 2005- period)

# Model Evaluation (ALL TEST DATA)

GPP



NEE (RE-GPP)

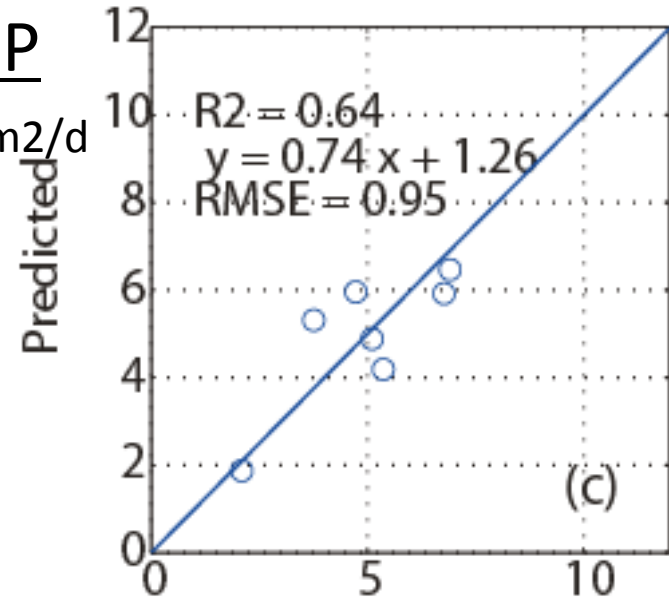


# Model Evaluation (TEST-only sites)

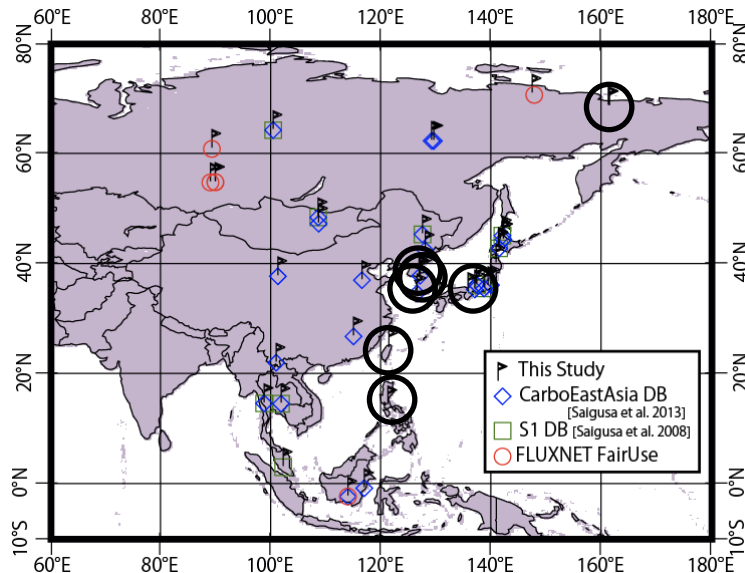
## Test Spatial Extrapolation Capability GPP

Site-by-Site  
(Mean)

GPP  
gC/m<sup>2</sup>/d

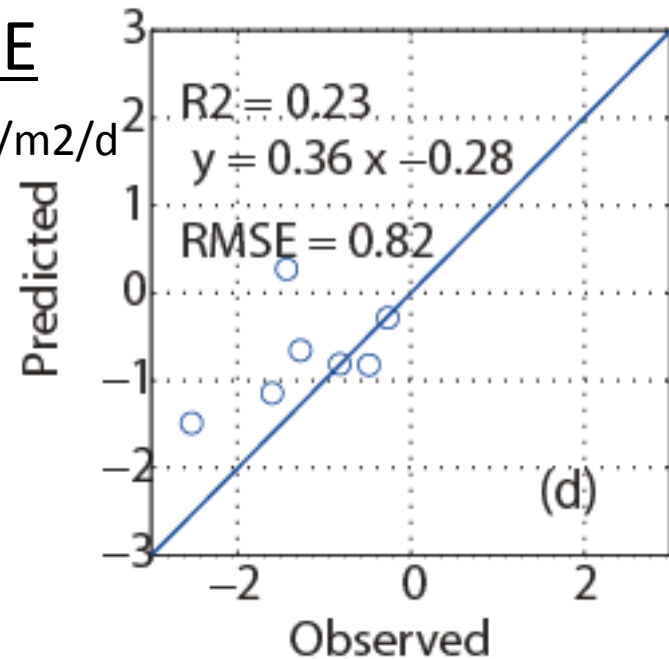


TEST-only sites (Obs: 2005- only)

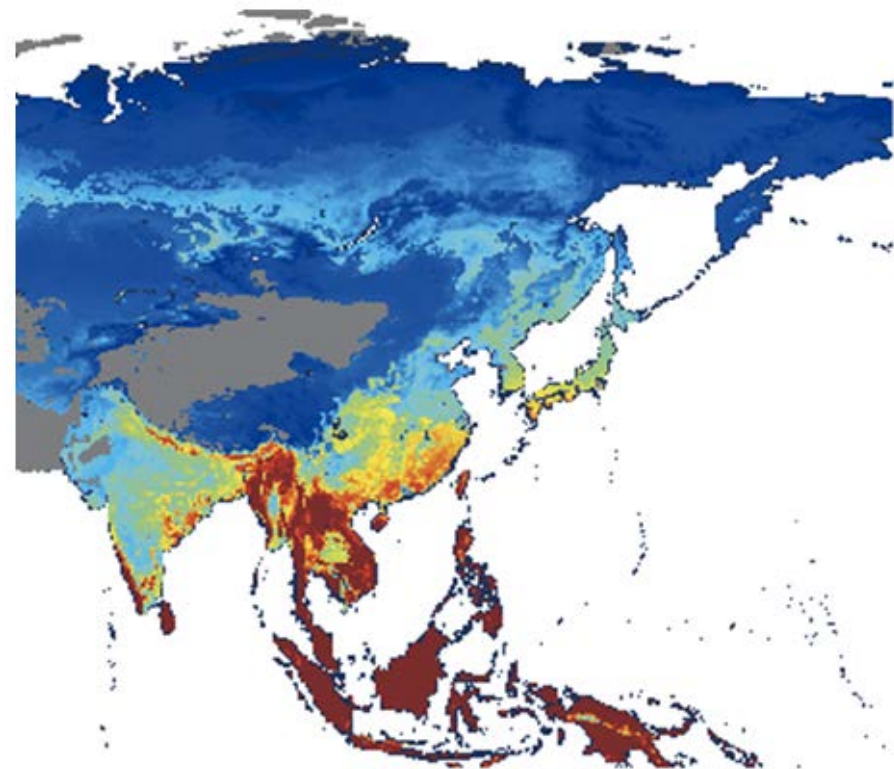


NEE

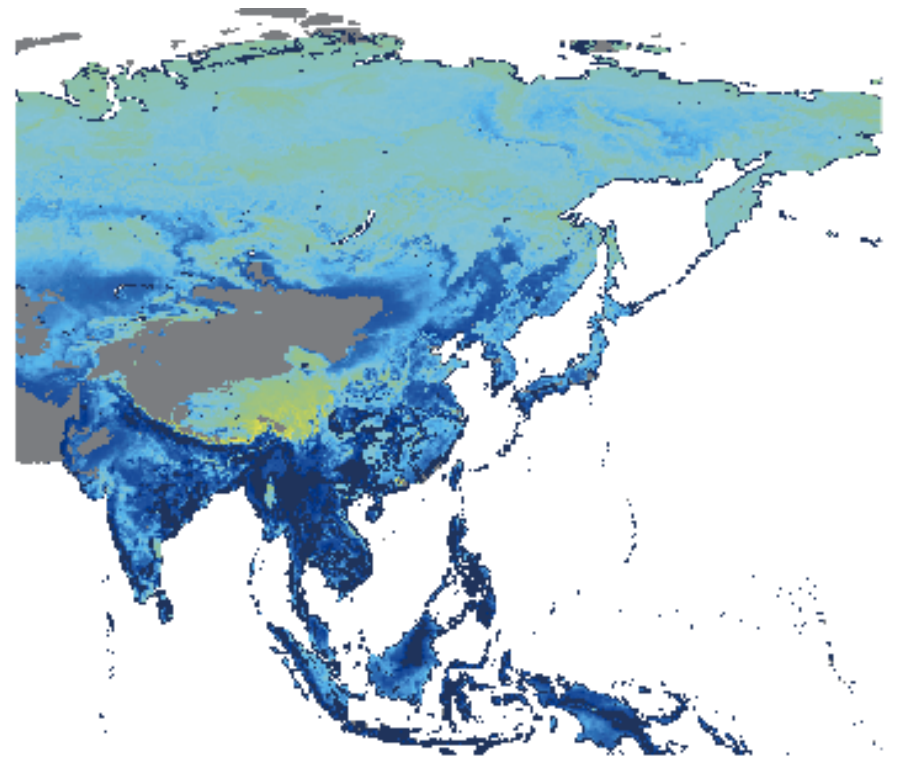
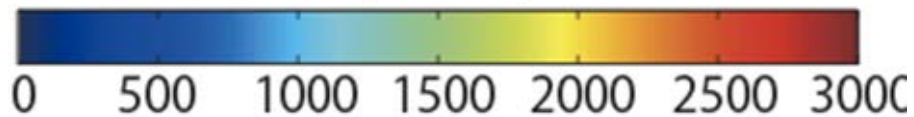
gC/m<sup>2</sup>/d



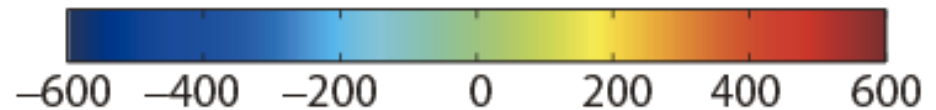
# Data-Driven Carbon Flux in Asia



GPP (gC/m2/year)



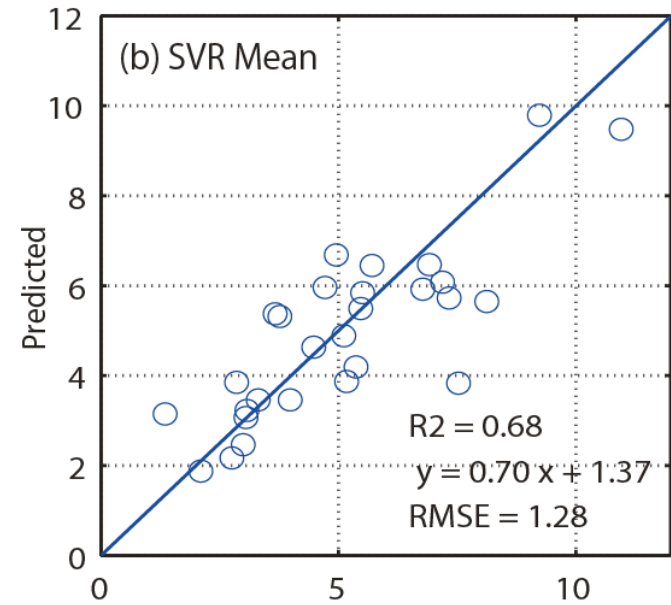
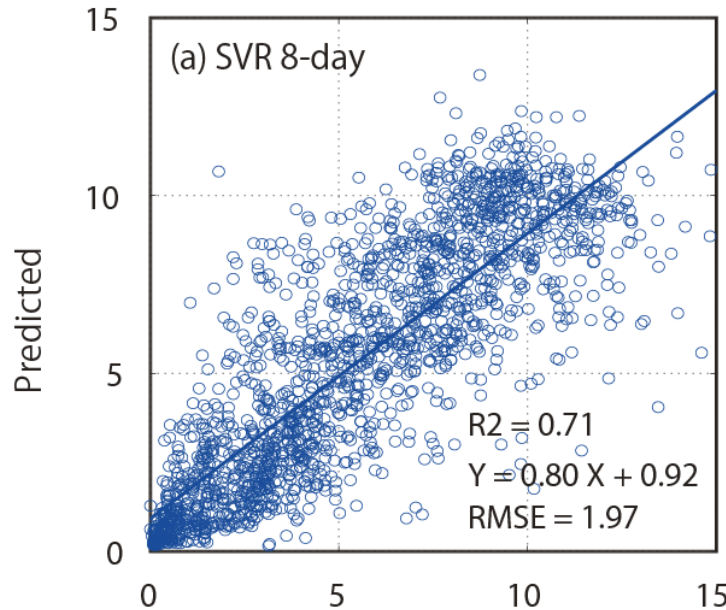
NEE (gC/m2/year)



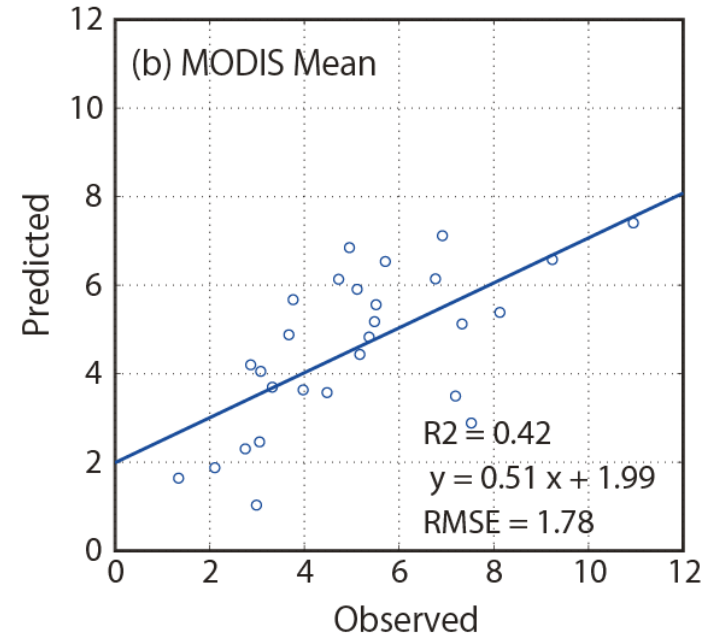
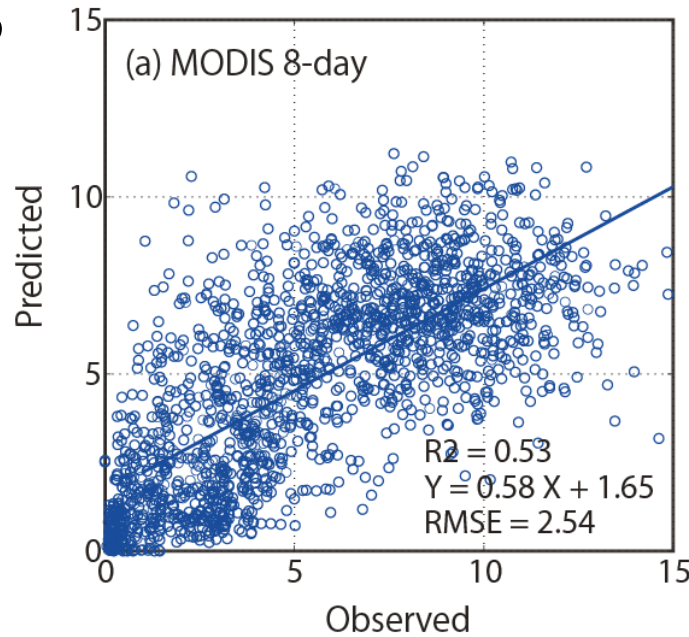
(2001-2011 Average)

# SVR GPP: Better than MODIS-GPP

○SVR



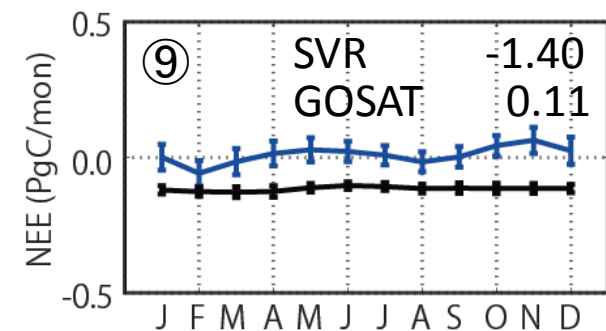
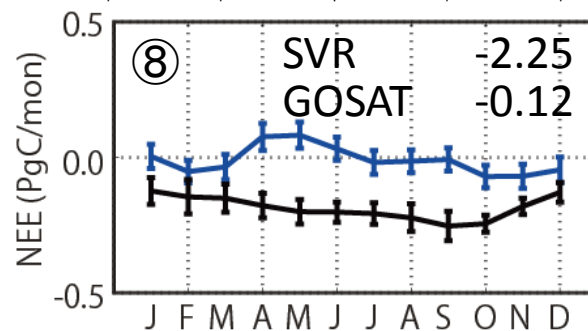
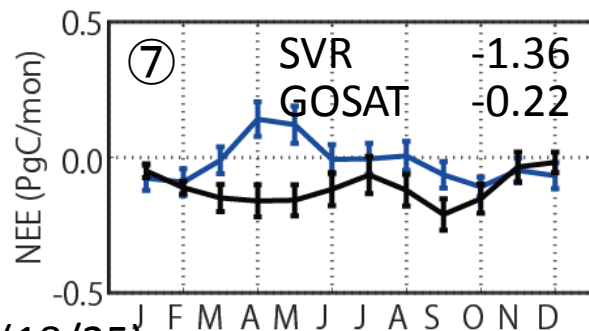
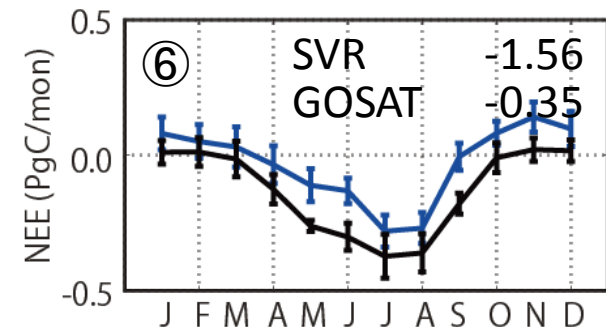
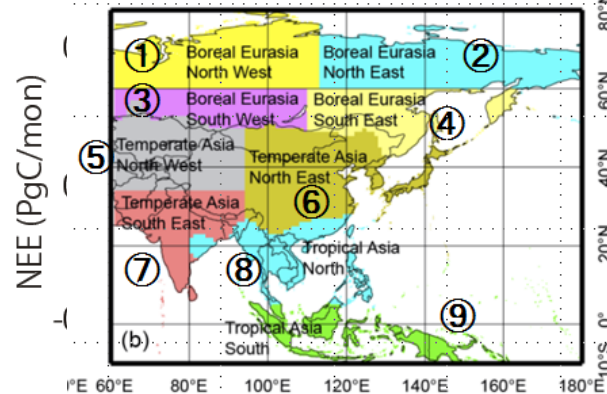
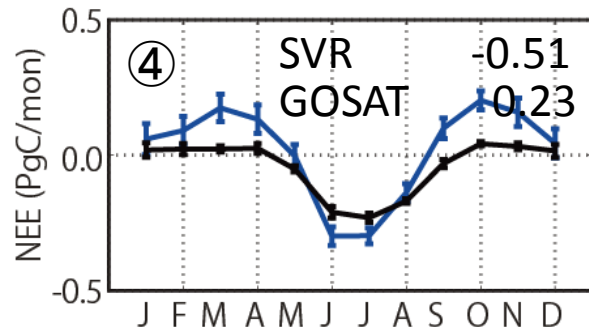
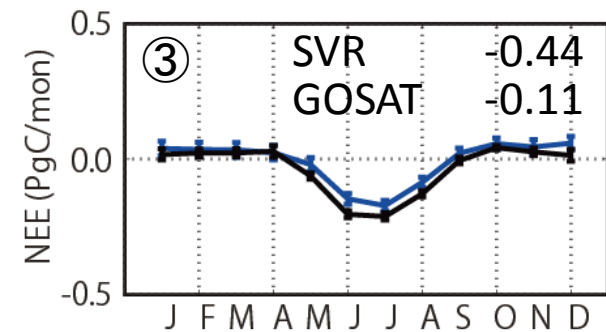
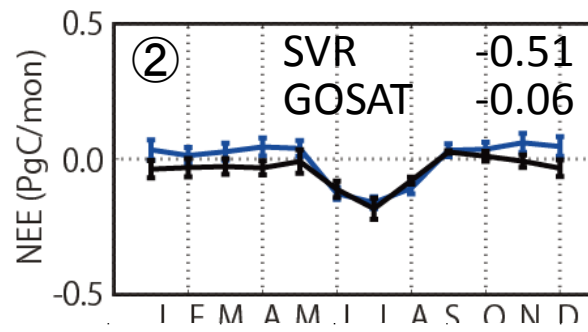
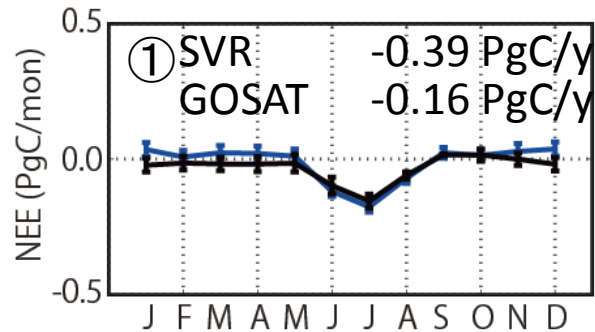
△MODIS



# SVR NEE vs GOSAT L4A: Larger Sink in SVR

GOSAT L4A: Top-down estimation (V02.02 – Latest)

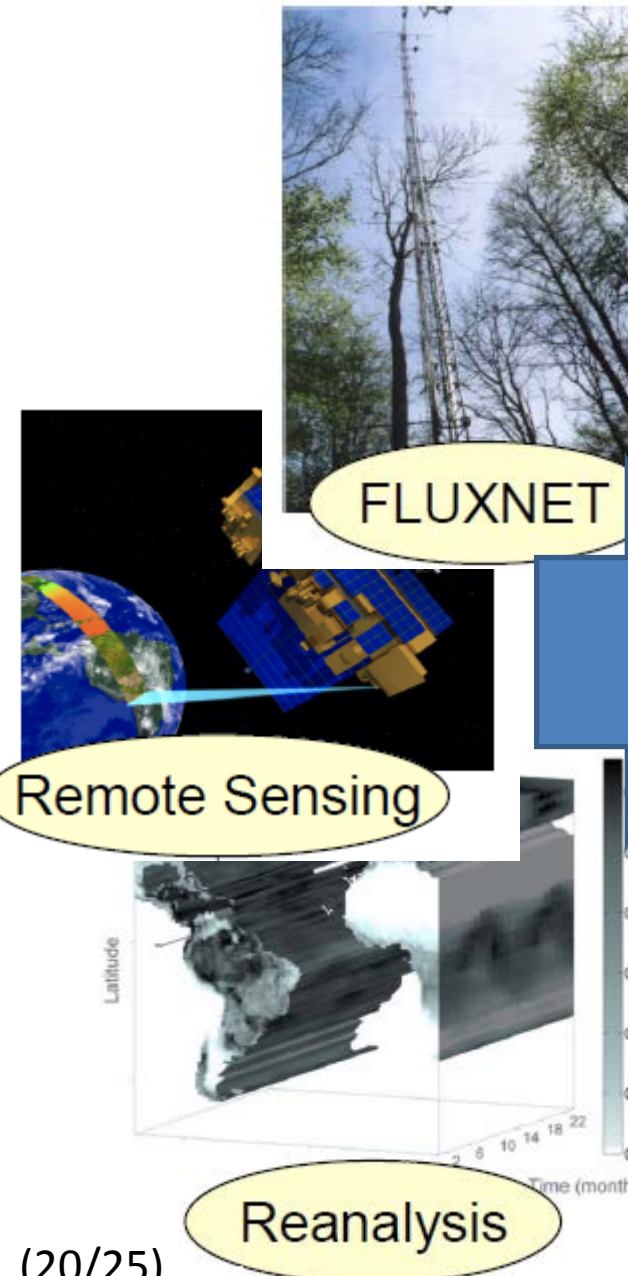
GOSAT CO<sub>2</sub>, Ground CO<sub>2</sub> + Inverse model



# AsiaSVR: Summary

1. Empirically Upscaled Estimation of Terrestrial C Fluxes in Asia  
SVR using 44 eddy-covariance sites (AsiaFlux etc.)  
GPP     OK  
NEE     Less accurate than GPP  
13 Years, 0.25 Deg, 8-day Product
2. Initial comparison (evaluation) with other products
  - GPP (photosynthesis) flux  
Better than MODIS GPP product
  - NEE (net; GPP-RE) flux  
Similar seasonal variation with GOSAT L4A  
over mid and high latitudes  
Large Differences in tropical region.

# FLUXCOM: Comparison among empirical upscaling

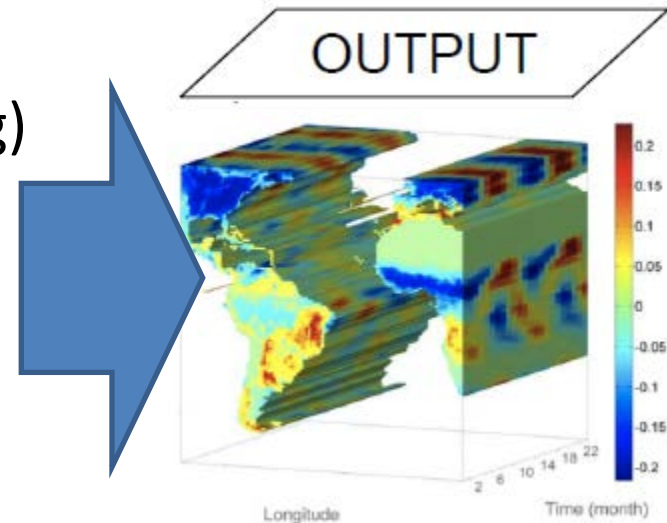


## FluxCom

Empirical Models  
(Machine Learning)

- ANN
  - MTE
  - SVR (K. Ichii)
  - Regression
- etc...

About 10 models



Energy, Water, Carbon  
Fluxes from 2001-2012  
Global, 10KM, 8-day

**Main Purpose: Provide ensemble  
Estimation by data-driven approach**

# FLUXCOM: Empirical Ensemble Estimates of Energy, Water, Carbon Fluxes



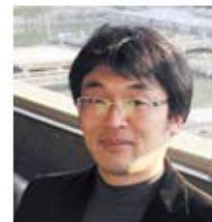
D. Papale



M. Reichstein



M. Jung



K. Ichii



G. Camps-Valls



G. Tramontana



FluxCom 



S. Sickert



C. Schwalm



T. Hilton



E. Tomelleri



N. Carvalhais

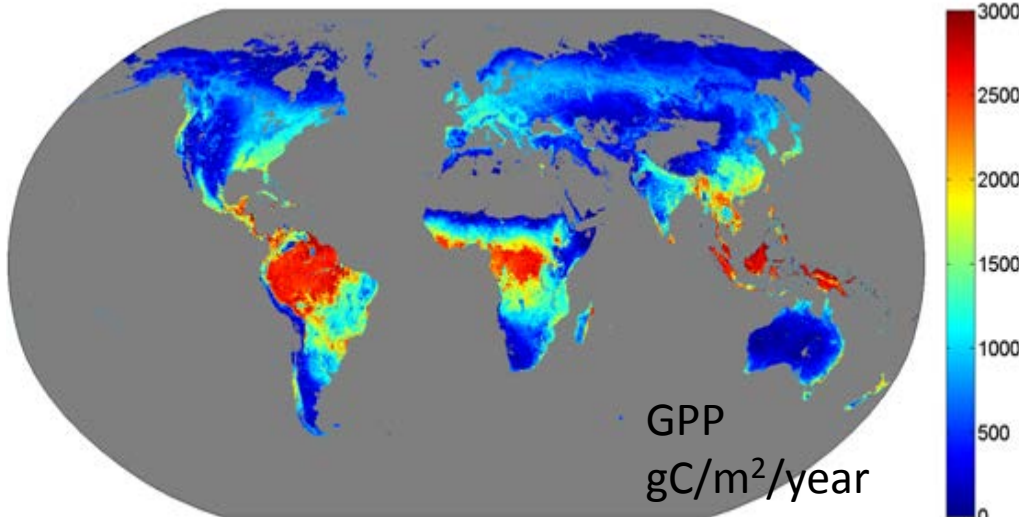


A. Bloom

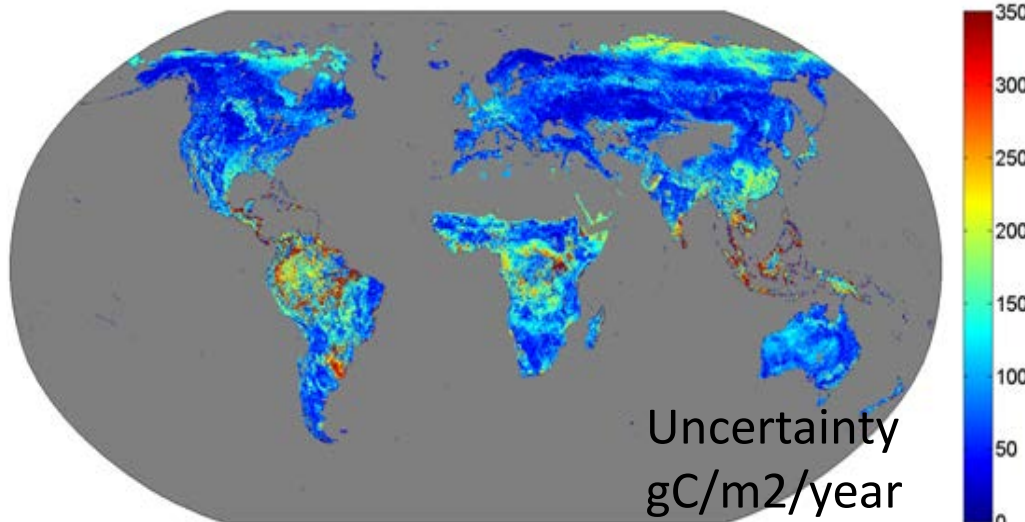
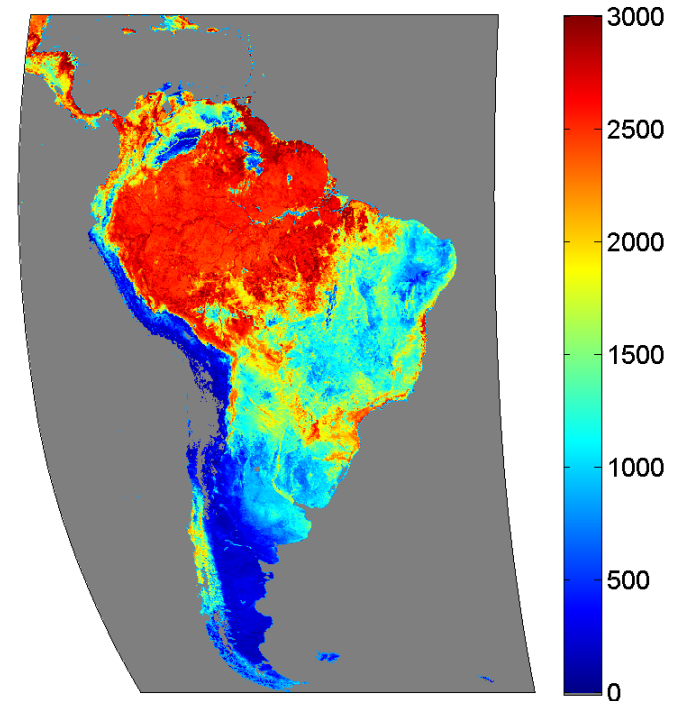


T. Keenan

# Ensemble products are almost ready



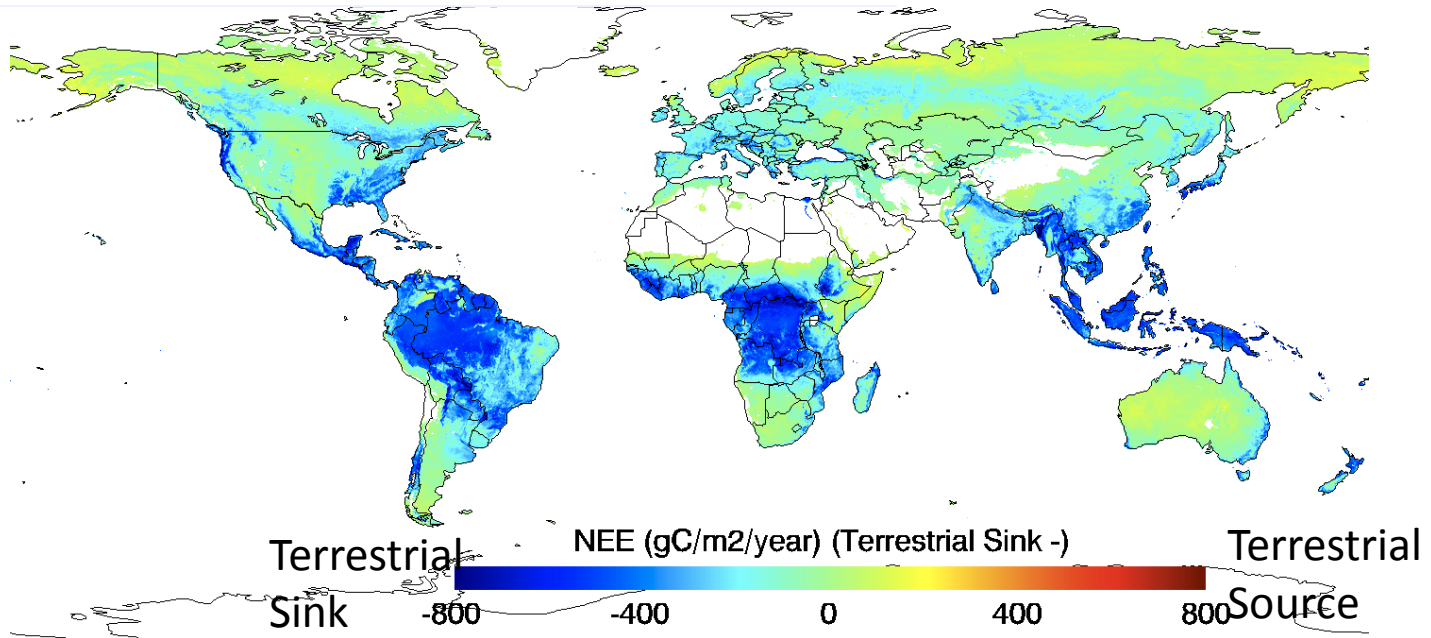
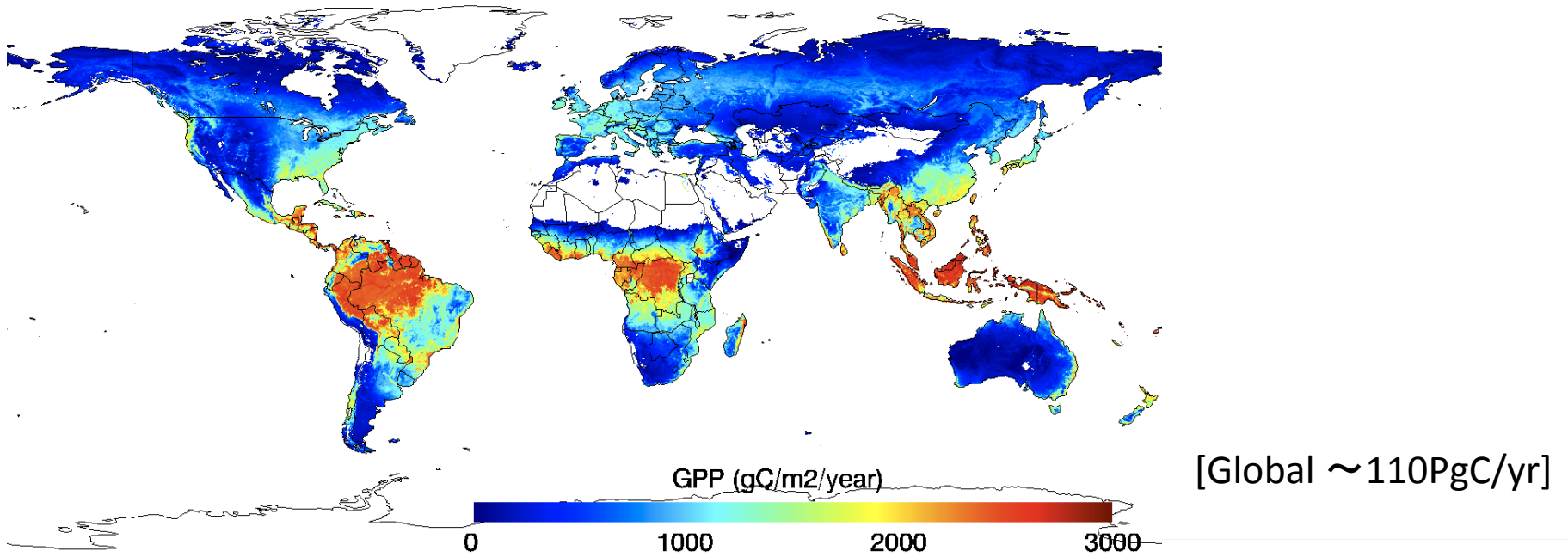
Year 2001-2012 (8-Day)  
~10km resolution



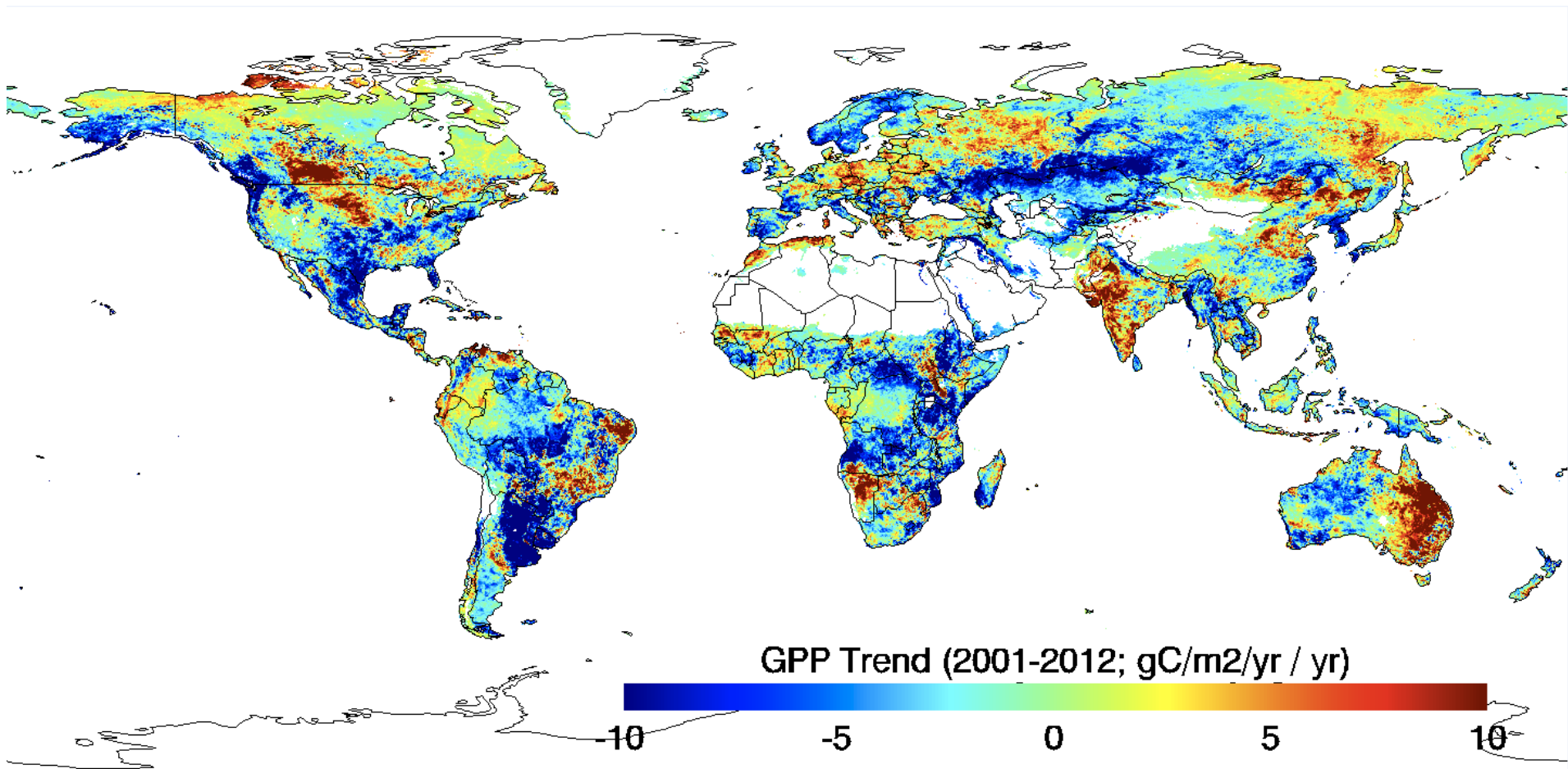
Call for Research Proposal

- Closed (now)
- Open (near future)

# GPP, NEE (RE-GPP) Distribution



# Preliminary Analysis: GPP Trend from 2001-2012



- Decreasing in many semi-arid regions?
- Need cross-check with other estimation (RS-data, models)

# Summary

1. Empirically Upscaled Estimation of Terrestrial C Fluxes in Asia  
SVR using 44 eddy-covariance sites (AsiaFlux etc.)  
13 Years, 0.25 Deg, 8-day Product  
(Other region; e.g. Alaska 2000-2011)
2. FLUXCOM – Intercomparison of empirical estimation of carbon  
Initial product ready  
Ensemble of about 10 models  
Currently, Initial analysis is on going.
3. Future Plan  
Application: Constrain Model  
Analyze interannual variation, decadal trend  
Benchmark data for model intercomparison (Asia-MIP)  
Synthesis Top-down and Bottom-up to re-evaluate carbon fluxes

# Supplement Slide

# Empirically upscaled estimation of NEE is reasonable

$$\text{NEE} (= \text{GPP} - \text{RE}) = \sim 13\text{-}20 \text{ PgC/year?}$$

**Table 2.** Synthesis of Global Carbon Balance Component Fluxes and Inferred Flux of Mean Annual Global Terrestrial Ecosystem Respiration

| Carbon Balance Component Flux               | Values (Pg C yr <sup>-1</sup> ) | Method                             | Reference                     |
|---------------------------------------------|---------------------------------|------------------------------------|-------------------------------|
| Gross Primary Production (GPP)              | 123 ± 8                         | Data-oriented models using FLUXNET | <i>Beer et al. [2010]</i>     |
| Net Biome Production (NBP)                  | 2.6 ± 1                         | Atmospheric inversions             | <i>Denman et al. [2007]</i>   |
| Fire emissions (F)                          | 1.7–2.5                         | Diagnostic and process models      | <i>Thonicke et al. [2010]</i> |
| Land use change emissions (LUC)             | 1.5                             | Inventories                        | <i>Houghton [2008]</i>        |
| Crop Harvest (CH)                           | 6–9                             | Process model                      | <i>Bondeau et al. [2007]</i>  |
| Dissolved organic and inorganic carbon (DC) | 2.9                             | Inventories                        | <i>Tranvik et al. [2009]</i>  |
| Volatile organic compounds (VOC)            | 1.2                             | Empirical model                    | <i>Guenther et al. [1995]</i> |
| Terrestrial ecosystem respiration (TER)     | ~100–110                        | residual                           |                               |

[Jung et al. 2011]

# Asia-MIP Objectives

Make effective use of various observation (site-obs, RS-obs),

1. Test models with observation data to characterize models.

Covering tropical – arctic regions in Asia

2. Find common problems in current ecosystem models

Causes of uncertainties in modeled CO<sub>2</sub>/H<sub>2</sub>O budget

Do model improvement

3. Estimate Carbon/Water budget in Asia by ensemble experiment

Provide budget with uncertainties

Updated analysis with region-specific data

4. Identify key processes to drive CO<sub>2</sub>/H<sub>2</sub>O cycle in Asia

Interannual and decadal variations

# Experiment: Asia-MIP

## Site-Level Experiment

Updated 44 Sites (AsiaFlux + others)

Driver (Climate, RS)

1901-2011(2012)

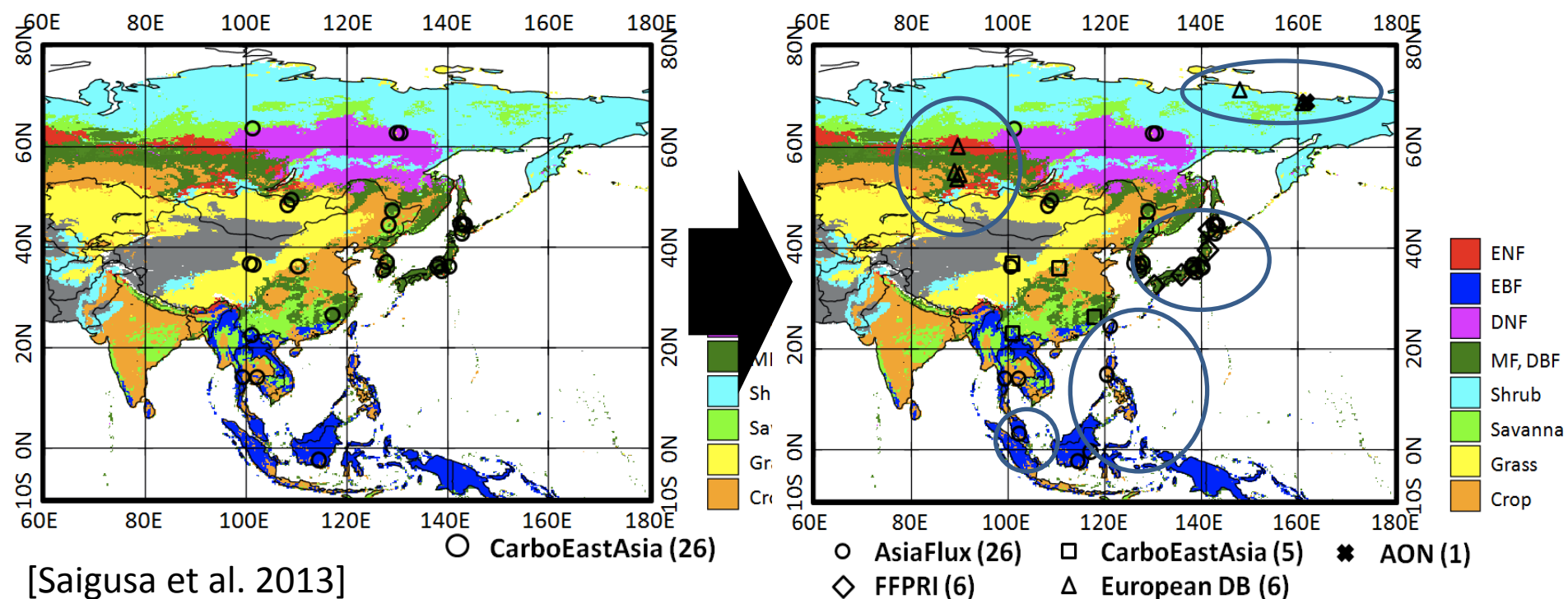
## Spatial Experiment

Driver (Climate, RS)

1901-2011

RS data

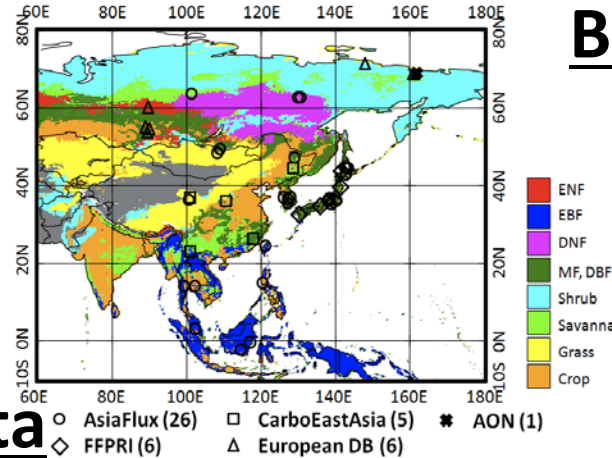
NOAA/AVHRR, Terra,Aqua/MODIS



# Materials

## Site-Obs

44 Sites:  
AsiaFlux + Other  
network sites



## Climate-data

### Model, Method

Temperature, CRUNCEP-type

Precipitation, CRUNCEP-type

Radiation, MTCLIM + JAXA-RAD (RS-based)

VPD, MTCLIM

[Ichii et al., 2013; RS]

## RS data

### Model, Method

NOAA/AVHRR LAI/FPAR3g [Zhu et al. 2013]

Terra/MODIS products

Phenology [Kobayashi]

Biomass etc.

## Bottom-up models

| Category         | Model     |
|------------------|-----------|
| Empirical model  | SVR       |
| Diagnostic model | BEAMS     |
|                  | BESS      |
|                  | CASA      |
| Prognostic model | Biome-BGC |
|                  | CLM-CN    |
|                  | VISIT     |
| DGVM             | LPJ       |
|                  | SEIB-DGVM |
|                  | TRIFFID   |

## Top-down models

### Model, Method

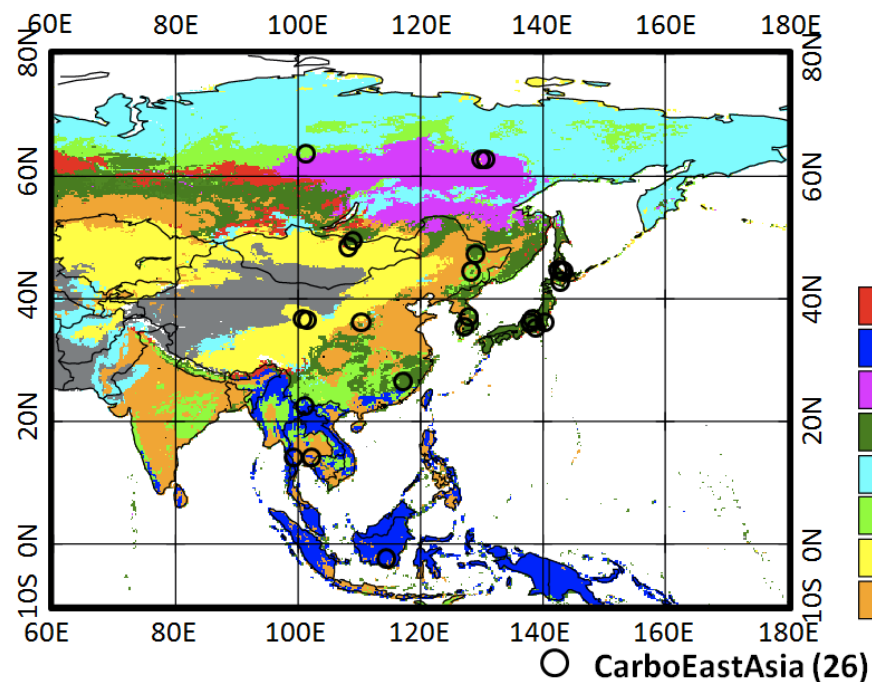
CarbonTracker

CarbonTracker Asia

Jena-Inversion

Other TRANSCOM models

# Preliminary Analysis: CarboEastAsia-MIP

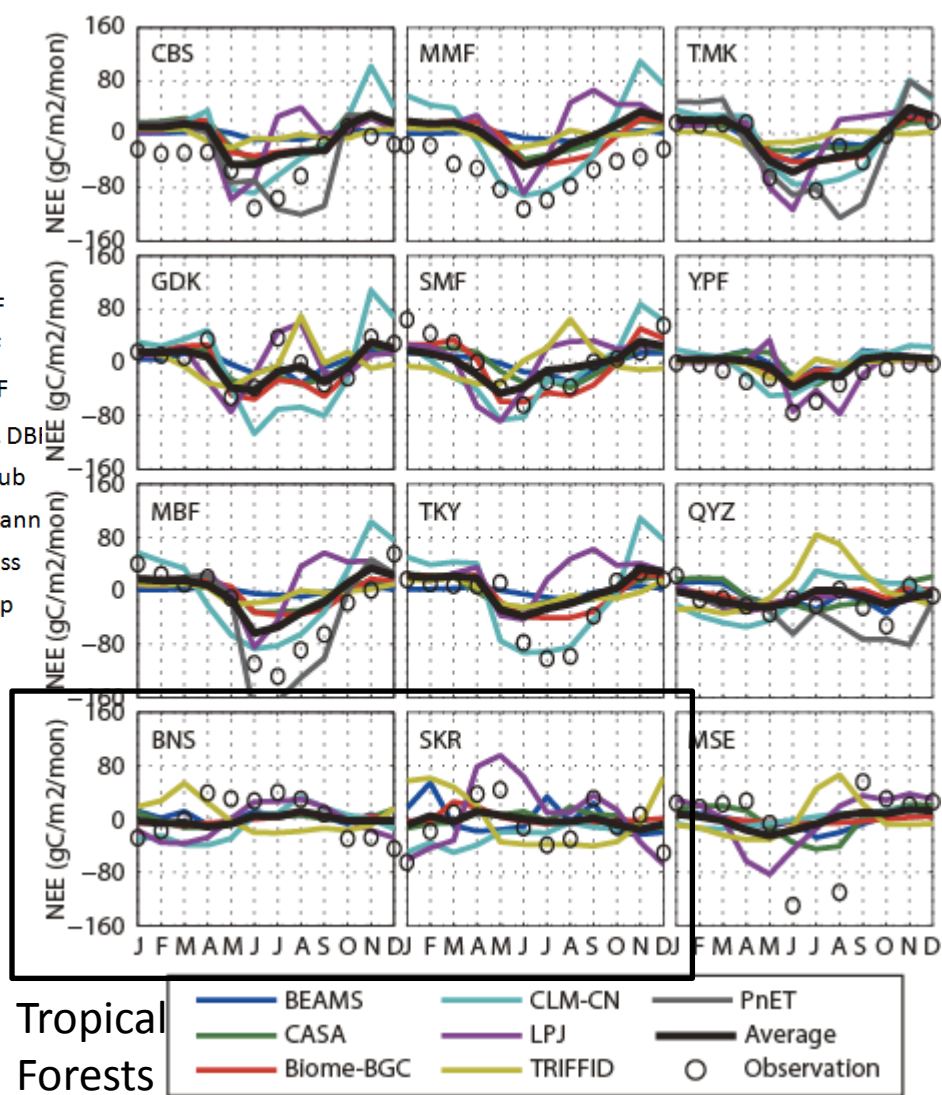


[Flux-data; Saigusa et al., 2013]

Terrestrial Carbon Cycle Models (8)

BEAMS, Carnegie-CASA, PnET  
Biome-BGC, CLM-CN3.5, VISIT  
LPJ, TRIFFID

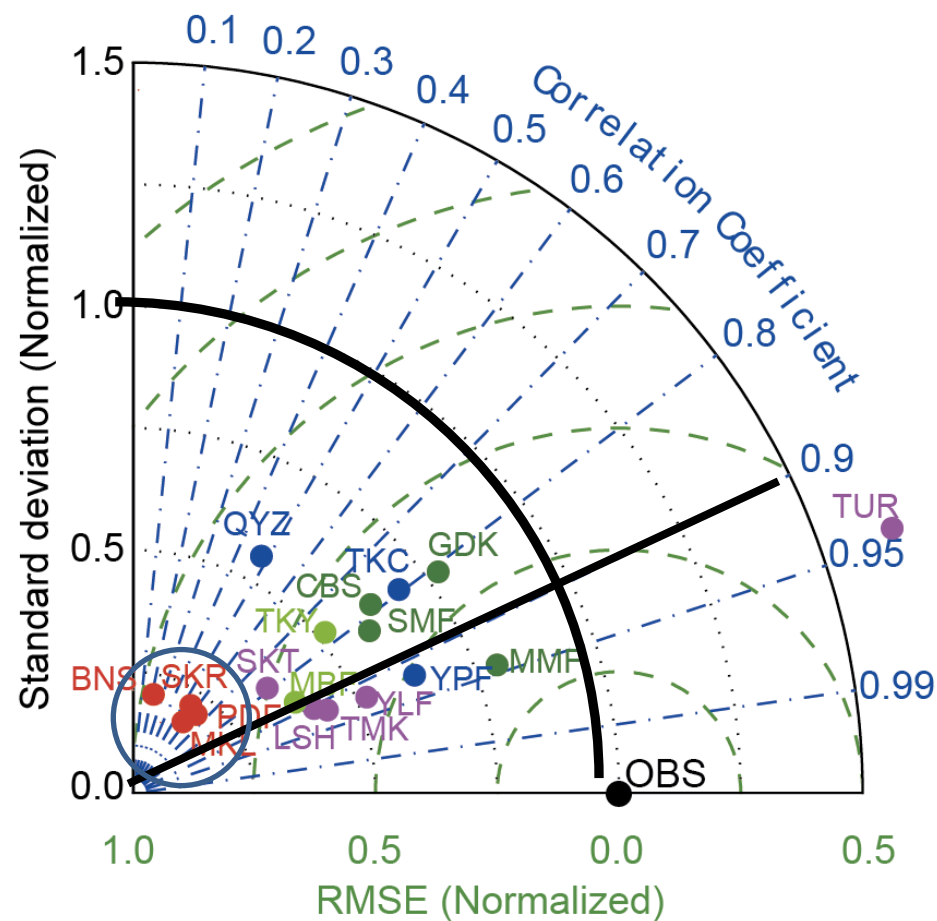
Consistent Driver for 1901-2010



[CarboEastAsia-MIP; Ichii et al., 2013]

# Preliminary Analysis: CarboEastAsia-MIP

## CarboEastAsia : Monthly NEE (Mean Seasonal Cycle)



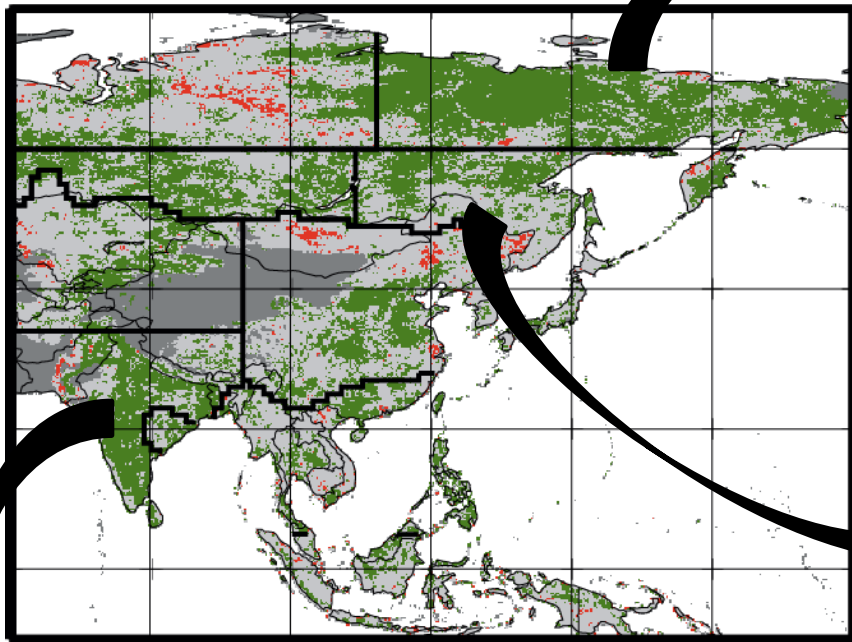
-Underestimate standard deviations  
(magnitude monthly variations)

-Difficulty in tropical forests

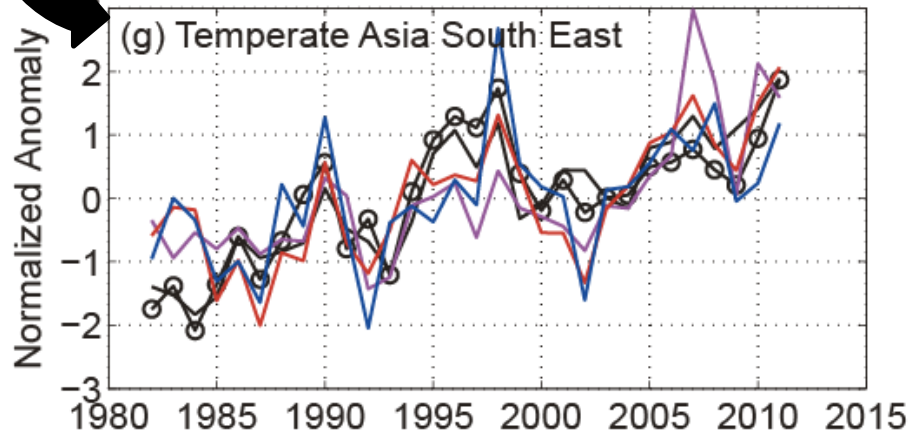
[Ichii et al., 2013]

# Asia-MIP, Preliminary Analysis GPP

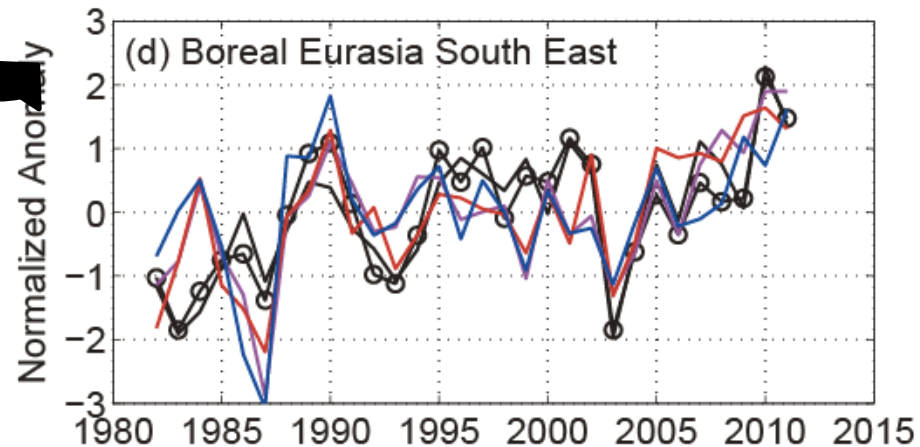
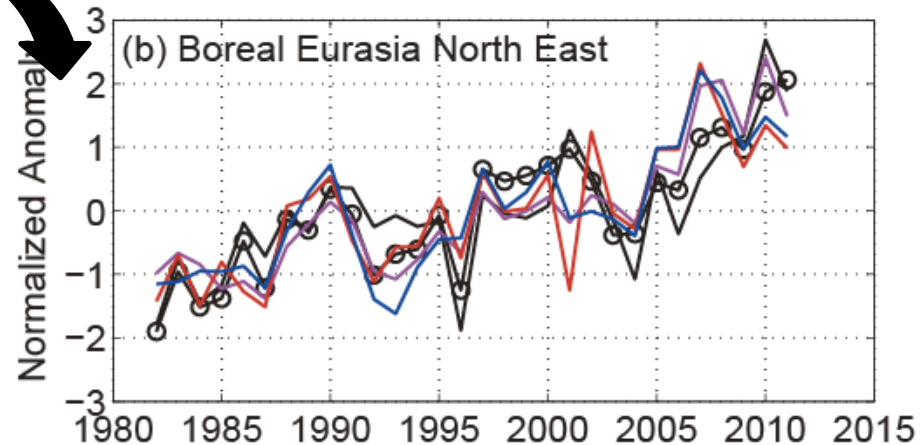
- Significant Increase
- Significant Decrease
- Insignificant Change
- Non Vegetation



AVHRR NDVI changes (1982-2011)



## Four Model GPPs vs AVHRR-NDVI

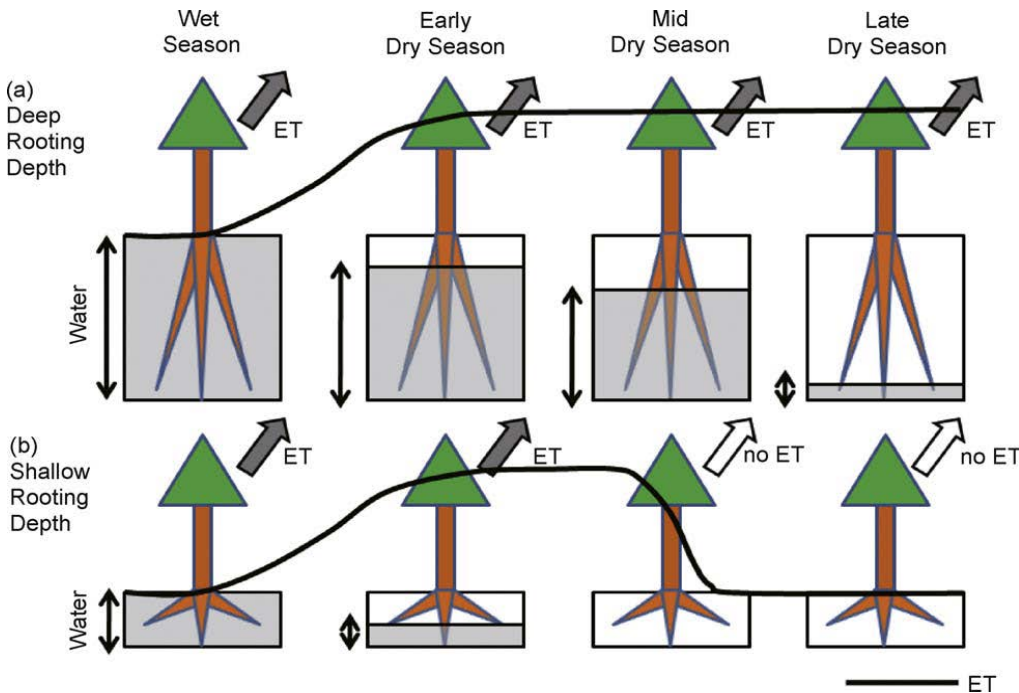


- NDVI
- BEAMS
- Biome-BGC
- LPJ
- TRIFFID

[Ichii et al. 2013]

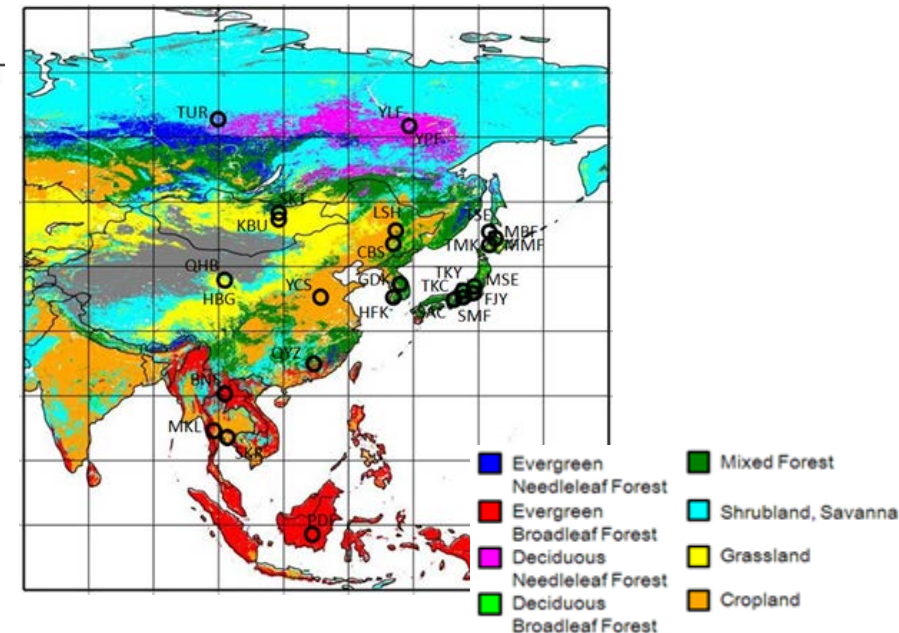
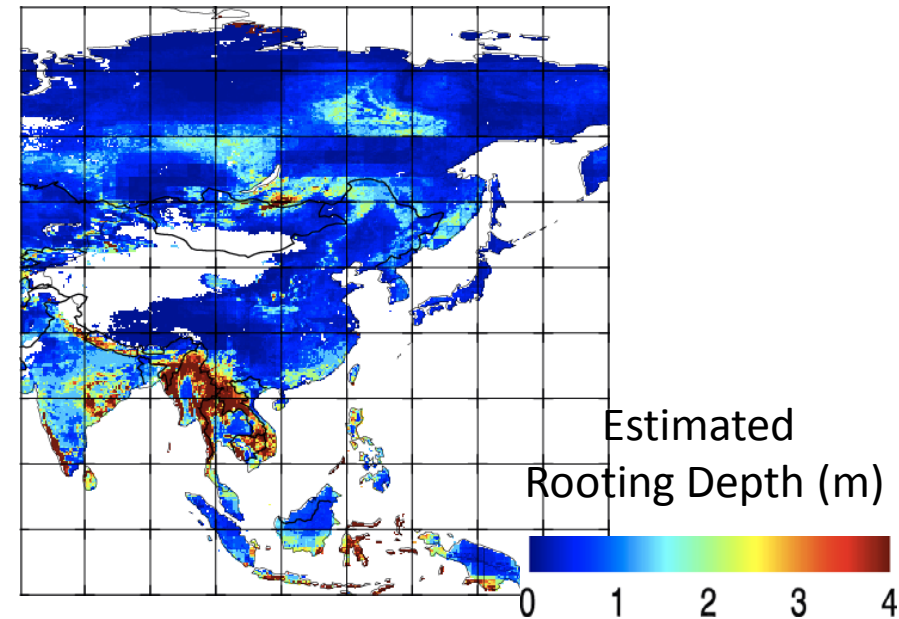
# Application: Constrain Terrestrial Ecosystem Model

## SVR-ET (Empirical Estimation) [Flux obs + RS]



[e.g. Ichii et al., 2009; 2007]

[Use old version ET]



# Application: Constrain Terrestrial Ecosystem Model

Initial Analysis: Diagnostic mode

$$GPP = e_{\max} \{ m(T_{\min}), m(vpd), m(\text{Soil}W) \} \text{ PAR} \times \text{FPAR}$$

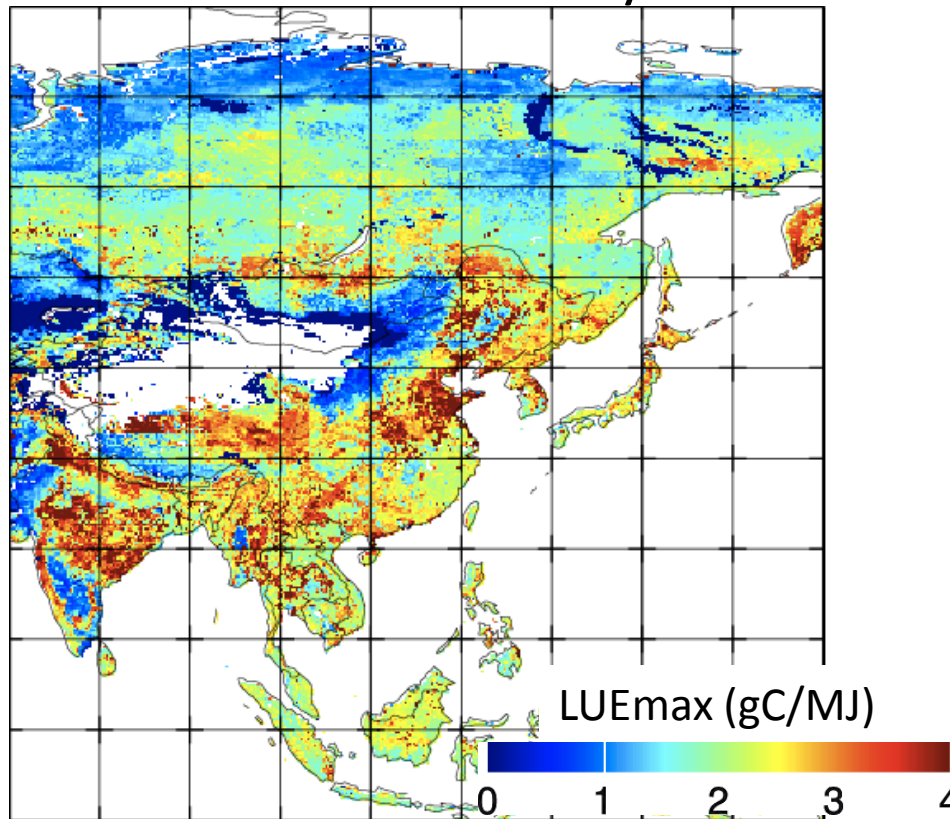
RS-GPP

Maximum Light  
Use Efficiency

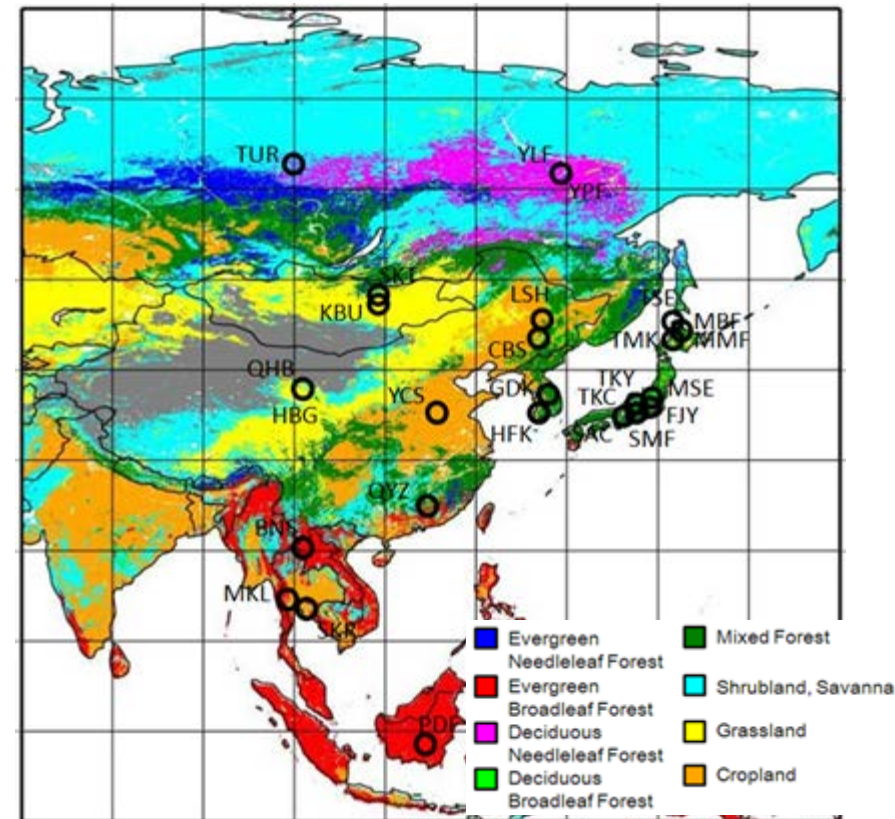
Environmental  
Stress Factor

Met data

MODIS



[Use old version GPP]



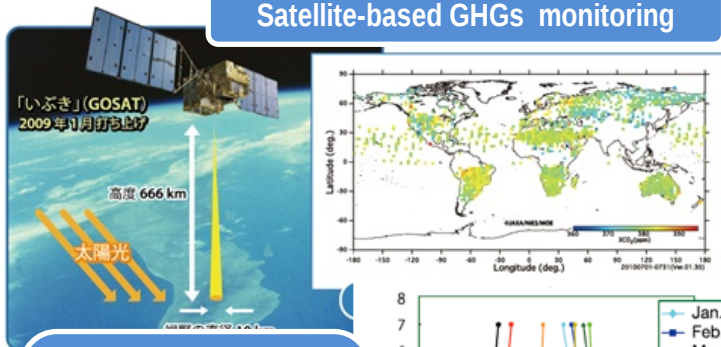
[Basic Concept: Yang et al., 2007]

# Integrated carbon observation and analysis system for early detection of carbon cycle change in global and Asia-Pacific region

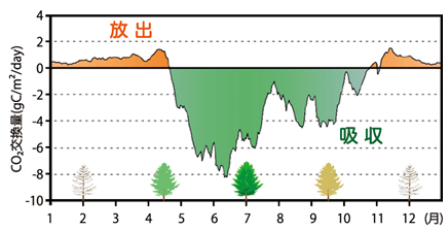
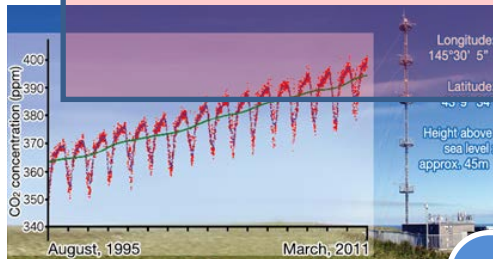
Suishinhi 2-1401 (H26-H28) PI: Dr. Saigusa

## Integrated GHGs observing system

### Satellite-based GHGs monitoring



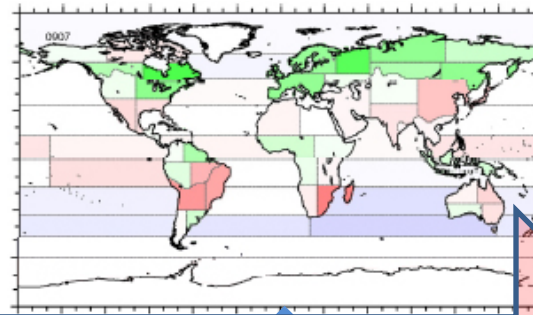
### Airplane- and Ship-based monitoring of GHGs



### Ground-based monitoring of GHGs concentration and their fluxes

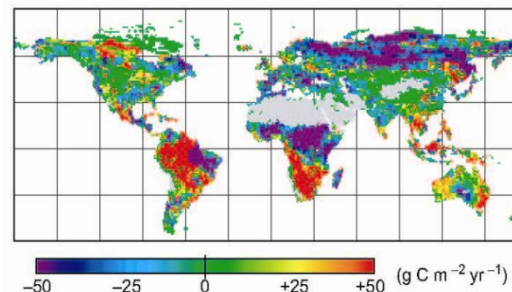
## Improved estimates of terrestrial carbon fluxes

### Top-down estimation (atmospheric inverse models)



### Comparison, Refinement

### Bottom-up estimation (model, upscaling)

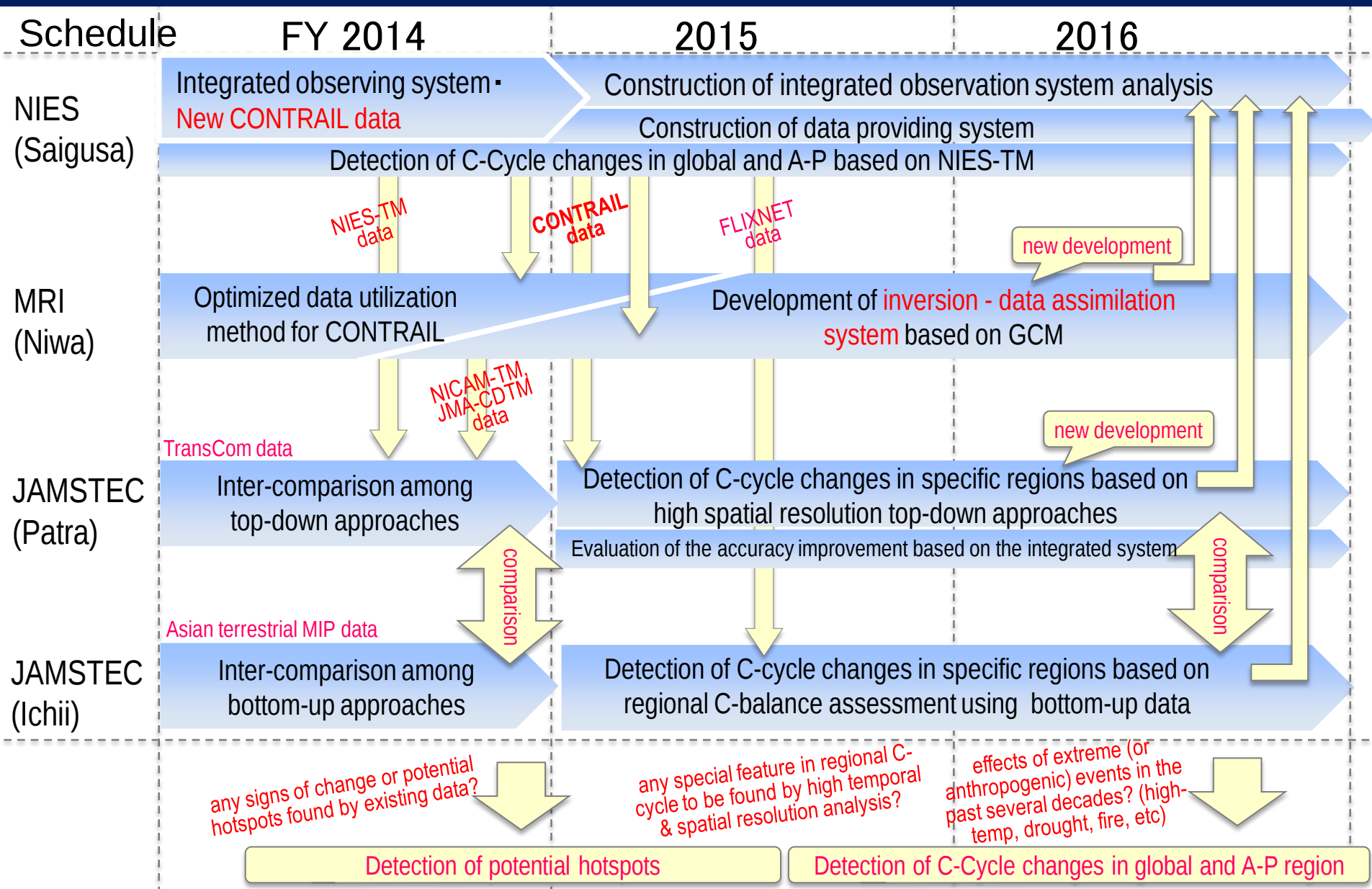


## Goal

- Integrated operational carbon monitoring system
- Better estimation of spatio-temporal variation of GHGs (+fluxes)
- National & regional estimates of CO<sub>2</sub> budget
- Early detection of drastic C cycle changes in Asia-Pacific

# New Project: Integrated carbon observation and analysis system for early detection of carbon cycle change in global and Asia-Pacific region

Suishinhi 2-1401 (H26-H28) PI: Dr. Saigusa

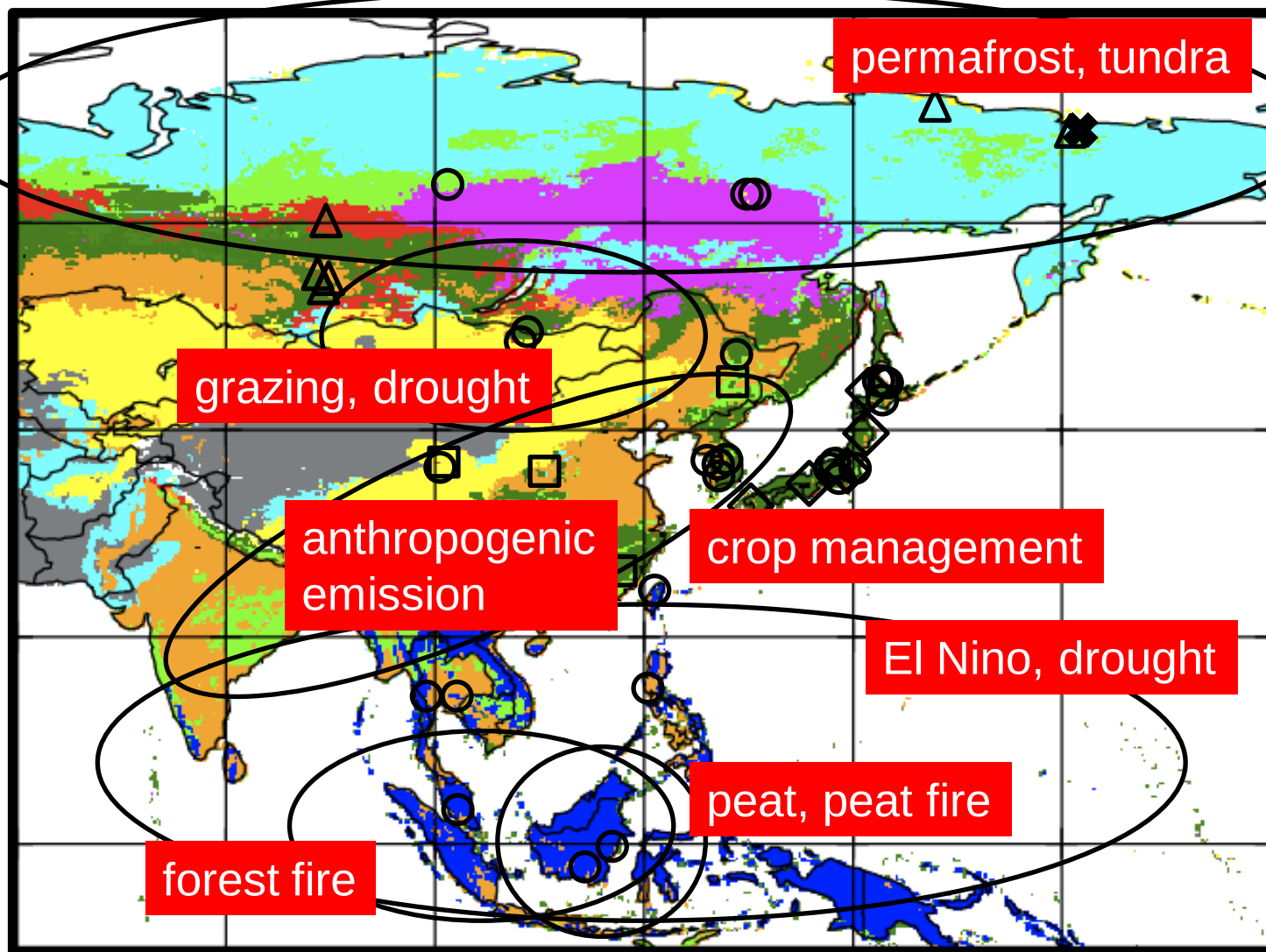


# New Project: Integrated carbon observation and analysis system for early detection of carbon cycle change in global and Asia-Pacific region

Suishinhi 2-1401 (H26-H28) PI: Dr. Saigusa

Any signs of changes or potential hotspots?

## Research targets?



(1) C-cycle in S- & SE-Asia (El Nino, fire):  
1997/98, 2002, 2006, 2009/10, 2014/15?

(2) Effects of global warming in Siberia:

(3) Others:  
Anthropogenic emission in mid-latitudes, etc.